

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

5200

Total Pages in this Submission

58

### TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**PROGRAM DELIVERY SYSTEM FOR VOD**

and invented by:

**John S. Hendricks et al.**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/352,205

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/160,280

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 07/991,074

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 58 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 17
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class                      ☐ Express Mail *(Specify Label No.):* \_\_\_\_\_

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Additional Enclosures *(please identify below):*

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	24	- 20 =	4	x \$18.00	\$72.00
Indep. Claims	5	- 3 =	2	x \$78.00	\$156.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$988.00

- ☒ A check in the amount of **\$988.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **04-1425** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

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Dated: April 2, 1999

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TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN, that we, John S. Hendricks and Richard C. Wunderlich, citizens of the United States and residents of Montgomery County, Maryland, and Fulton County, Georgia, respectively, have invented certain new and useful improvements in

PROGRAM DELIVERY SYSTEM FOR VOD

of which the following is a specification.

## PROGRAM DELIVERY SYSTEM FOR VOD

### Related Applications

This application is a continuation application of application Serial Number 08/352,205, filed December 2, 1994, entitled NETWORK MANAGER FOR CABLE TELEVISION SYSTEM HEADENDS, which is a continuation-in-part of U.S. Patent Application No. 5,600,364, entitled NETWORK CONTROLLER FOR CABLE TELEVISION DELIVERY SYSTEM and U.S. Patent No. 5,798,785, entitled TERMINAL FOR SUGGESTING PROGRAMS OFFERED ON A TELEVISION PROGRAM DELIVERY SYSTEM, filed December 2, 1993, which are a continuation-in-part applications of Serial Number 07/991,074, filed December 9, 1992, entitled TELEVISION PROGRAM PACKAGING AND DELIVERY SYSTEM WITH MENU DRIVEN SUBSCRIBER ACCESS.

This application is also a continuation of application U.S. Patent No. 5,659,350, filed December 2, 1993, entitled AN OPERATIONS CENTER FOR A TELEVISION PROGRAM PACKAGING AND DELIVERY SYSTEM, which is a continuation of U.S. Patent No. 5,734,853, filed December 2, 1993, entitled SET-TOP TERMINAL FOR CABLE TELEVISION DELIVERY SYSTEMS, which is a continuation of PCT/US93/11606 and U.S. Ser. No. 08/160,194, filed December 2, 1993 entitled ADVANCED SET-TOP TERMINAL FOR CABLE TELEVISION DELIVERY SYSTEMS.

This application is also a continuation of U.S. Patent No. 5,682,195, filed December 2, 1993, entitled DIGITAL CABLE HEADEND FOR CABLE TELEVISION DELIVERY SYSTEM, which is a continuation of U.S. Patent No. 5,559,549, filed December 2, 1993, entitled TELEVISION PROGRAM DELIVERY SYSTEM, which is a continuation of U.S. Patent No. 5,600,573, filed December 2, 1994, entitled AN OPERATIONS CENTER WITH VIDEO STORAGE OF A TELEVISION PROGRAM PACKAGING AND DELIVERY SYSTEM.

All the above identified applications are incorporated by reference herein.

### Technical Field

The invention relates to cable television delivery systems for providing television programming to consumer homes. More particularly, the invention relates to a network manager

1 that coordinates, monitors, and manages a television program delivery network from a cable  
2 headend.

### 3 4 Background Art

5 Advances in television entertainment have been primarily driven by breakthroughs in  
6 technology. In 1939, advances on Vladmir Zworykin's picture tube provided the stimulus for  
7 NBC to begin its first regular broadcasts. In 1975, advances in satellite technology provided  
8 consumers with increased programming to homes.

9 Many of these technology breakthroughs have produced inconvenient systems for  
10 consumers. One example is the ubiquitous three remote control home, having a separate and  
11 unique remote control for the TV, cable box and VCR. More recently, technology has provided  
12 cable users in certain parts of the country with 100 channels of programming. This increased  
13 program capacity is beyond the ability of many consumers to use effectively. No method of  
14 managing the program choices has been provided to consumers.

15 Consumers are demanding that future advances in television entertainment, particularly  
16 programs and program choices, be presented to the consumer in a user friendly manner. In order  
17 for new television entertainment products to be successful, the products must satisfy consumer  
18 demands. TV consumers wish to go from limited viewing choices to a variety of choices, from  
19 no control of programming to complete control. Consumers wish to advance from cumbersome  
20 and inconvenient television to easy and convenient television and keep costs down. Consumers  
21 do not wish to pay for one hundred channels when due to lack of programming information, they  
22 seldom, if ever, watch programming on many of these channels. Viewers wish their  
23 programming to be customized and targeted to their needs and tastes.

24 The concepts of interactive television, high definition television and 300 channel cable  
25 systems in consumer homes will not sell if they are not managed, packaged, delivered and  
26 presented in a useable fashion to consumers. Consumers are already being bombarded with  
27 programming options, numerous "free" cable channels, subscription cable channels and pay-per-  
28 view choices. Any further increase in TV entertainment choices, without a managed user friendly  
29 presentation and approach, will likely bewilder viewers with a mind-numbing array of choices.

1 The TV industry has traditionally marketed and sold its programs to consumers in bulk,  
2 such as continuous feed broadcast and long-term subscriptions to movie channels. The TV  
3 industry is unable to sell its programming in large quantities on a unit per unit basis, such as the  
4 ordering of one program. Consumers prefer a unit sales approach because it keeps costs down  
5 and allows the consumer to be more selective in their viewing.

6 In today's television world, networks manage the program lineup for individual channels.  
7 Each network analyzes ratings for television shows and determines the appropriate schedule or  
8 program lineup to gain market share and revenue from advertising. Since each channel is in  
9 competition with every other channel, there is no coordinated effort to manage television  
10 programming in a manner that primarily suits the viewers.

11 Advertising has become equally annoying, with viewers being "forced" to watch  
12 television commercials for goods and services that are neither needed nor desired. As a result,  
13 consumers have become impatient and dissatisfied with today's television delivery systems.  
14 Equally problematic, these television delivery systems do not have the capabilities or features  
15 necessary to operate in the digital environment. Consequently, advances in digital signal  
16 technology call for a new television program delivery system that is capable of satisfying varying  
17 consumer and viewer needs.

18 Existing cable headends are unequipped for the transition to a digital system. These cable  
19 headends have no means for monitoring and managing the large numbers of program signals and  
20 advertisements that will eventually be passed on to both consumers and viewers. These cable  
21 headends are not able to provide menus to viewers or to suggest programs to viewers. These  
22 cable headends are unequipped to manage account and billing information for set top terminals  
23 without relying on telephone lines. In addition, these cable headends have no means for targeting  
24 advertisements to particular consumers and viewers.

## 25 26 Summary of Invention

27 The present invention is a network manager for a television delivery system. The network  
28 manager is the central component that provides monitoring and management of headend  
29 components and set top terminals in a television delivery system. The network manager is a key

1 component of a cable television delivery system with upstream subscriber communications. The  
2 network manager of the present invention provides much greater flexibility and capability than  
3 existing cable headend management, monitoring and control equipment.

4 The network manager of the preferred embodiment performs all of its cable network  
5 monitoring, management and control of cable headend components and set top terminals from  
6 the cable headend. The cable headend may receive digital or analog signals over satellite or  
7 terrestrial link. Signals are processed and stored by a file server for subsequent selection and  
8 distribution over the cable television system to subscribers. The selection and distribution of  
9 stored programs, menus, advertisements, video, data and the like is accomplished intelligently  
10 using the network manager.

11 The primary function of the network manager is to operate with other cable headend  
12 components in order to manage and coordinate the reception of various programming and control  
13 signals and store such signals for intelligent selection and distribution to set top terminals. In so  
14 doing, the network manager oversees signal reception, processing, storage, intelligent selection  
15 and distribution of signals and data to subscribers.

16 The network manager performs its functions and processing capabilities using several  
17 components. These components include a receiver or set of receivers (including a demodulator,  
18 demultiplexer and/or buffering circuitry), a work station, a program control information  
19 processing component, a network management CPU, databases, control software and an  
20 instruction memory. Using these components, the network manager works with other cable  
21 headend components, including signal reception equipment, an authorization component, a file  
22 server, MPEG decoders, a digital buffer with frame repeat and channel modulators

23 The network manager is capable of processing upstream information and subscriber  
24 communications received from the set top terminals, whether such information is received via  
25 telephone or upstream over the cable system. With these capabilities, the network manager can  
26 oversee and perform various system services, including (1) near video on demand (NVOD), (2)  
27 virtual video on demand (VVOD), (3) video on demand (VOD), (4) interactive program services,  
28 (5) program suggestion features and capabilities, (6) advertisement targeting, (7) generation of  
29 standard and custom menus, and (8) data spooling and text overlaying. The network manager



1 may accommodate additional services such as account and billing processing. In addition, the  
2 network manager may operate with different types of set top terminals, including both digital and  
3 analog set top terminals.

4 The network manager makes use of a number of software routines in performing its major  
5 functions. The routines operate at different processing levels within the network manager's  
6 software hierarchy to: identify a subscriber request, process the subscriber's request, locate the  
7 data corresponding to the subscriber's request, and send the data located to the subscriber.

8 The network manager makes use of a Reception routine to designate the type of  
9 subscriber communication received by the network manager. Typically, the routine designates  
10 the subscriber communication as either a program request or menu request.

11 A Program Request routine can be used for all subscriber communications that are  
12 program related. The Program Request routine identifies the type of program request in real-time  
13 as a NVOD program request, a VVOD program request, an interactive program request, and/or  
14 a request that may be used in the targeting of advertisements.

15 A Menu Request routine can be used to identify menu requests. This routine identifies  
16 the type of menu in real-time as a standard menu or a custom menu.

17 Separate routines may be used for NVOD, VVOD and interactive program requests and  
18 subscriber communications. An Advertisement Targeting routine may be used to generate  
19 packages of television commercials and advertisements that are targeted toward particular  
20 viewers. This routine may make use of a viewer's demographic information and/or programs  
21 watched or viewing habits to determine those advertisements that are of most interest to that  
22 particular viewer. In addition, a Program Suggestion routine can make use of similar information  
23 in order to suggest programs or actors to a specific viewer or subscriber.

24 Menus may be generated by the network manager which can be sent to the set top  
25 terminals from the cable headend. A Standard Menus routine can be used to locate prestored  
26 menus in the file server at the cable headend and generate a standard or generic menu that can  
27 be sent to any subscriber. A Custom Menus routine can similarly be used to locate data and  
28 generate a custom menu that can be sent to a specific subscriber. This routine makes use of a  
29 look-up table that can be used to generate text messages, which can be inserted or interleaved

1 into prebuilt data streams that characterize various sections of the menu screen. This routine  
2 allows menus to be customized to an individual subscriber and subsequently distributed to that  
3 subscriber. Routines that can be used to send a data stream to a subscriber include a routine that  
4 prompts the file server to send the located or generated data stream to a subscriber and another  
5 routine that allows data to be sent to a subscriber in the form of text that is overlaid on a menu  
6 or program.

7 The present invention is not only able to operate in the digital environment but also  
8 introduces many new features to television program delivery and cable headend control.

9 These and other objects and advantages of the invention will become obvious to those  
10 skilled in the art upon review of the following description, the attached drawings and appended  
11 claims.

#### 12 Brief Description of Drawings

13 Figure 1 is a diagram of the primary components of the television delivery system;

14 Figure 2 is a diagram of the primary components of a cable headend having a network  
15 manager;

16 Figure 3a is a diagram of the primary components of a cable headend with a network  
17 manager showing the primary components of the network manager;

18 Figure 3b is a diagram that shows the overall structure of the processing levels performed  
19 by the network manager;

20 Figure 3c is a diagram of a decision tree showing the processing steps performed by the  
21 network manager;

22 Figure 4 is a schematic of the headend components with a network manager operating in  
23 a basic analog environment;

24 Figure 5 is a schematic of a cable headend having a network manager operating in an  
25 analog pay per view environment;

26 Figure 6a is a schematic of the cable headend components including a network manager  
27 operating in an analog video on demand environment;

28 Figure 6b is a diagram of a method to process a virtual video on demand program request;

1 Figure 6c is a diagram for an alternative method to process a virtual video on demand  
2 program request;

3 Figure 7 is a schematic of a cable headend having a network manager operating in a  
4 digital environment;

5 Figure 8 is a schematic of a cable headend having a network manager operating in a  
6 mixed analog and digital environment;

7 Figure 9 is a diagram of a sample programs watched matrix;

8 Figure 10a is a diagram showing the steps in a method to prebuild an MPEG data stream  
9 for a customized menu;

10 Figure 10b is a diagram showing the steps in a method for creating or storing an MPEG  
11 data stream for a customized menu in real-time in response to a subscriber request;

12 Figure 10c is a diagram showing the steps in a method of processing a customized menu  
13 upon a request from a subscriber; and

14 Figure 11 is a diagram of a sample subscriber answer look-up table used to generate  
15 responses during an interactive program.

## 16 Disclosure of Invention

### 17 A. Television Program Delivery System Description

#### 18 1. Introduction

19 Figure 1 shows the present invention as part of an expanded cable television program  
20 delivery system 200 that dramatically increases programming capacity using compressed  
21 transmission of television program signals. Developments in digital bandwidth compression  
22 technology now allow much greater throughput of television program signals over existing or  
23 slightly modified transmission media. The program delivery system 200 shown provides  
24 subscribers with a user friendly interface to operate and exploit a six-fold or more increase in  
25 current program delivery capability.

26 Subscribers are able to access an expanded television program package and view selected  
27 programs through a menu-driven access scheme that allows each subscriber to select individual  
28 programs by sequencing through a series of menus. The menus are sequenced by the subscriber  
29

1 using simple alpha-numeric and iconic character access or moving a cursor or highlight bar on  
2 the TV screen to access desired programs by simply pressing a single button, rather than recalling  
3 from memory and pressing the actual two or more digit numeric number assigned to a selection.  
4 Thus, with the press of one button, the subscriber can advance from menu to menu. In this  
5 fashion, the subscriber can sequence the menus and select a program from any given menu. The  
6 programs are grouped by category so that similar program offerings are found on the same menu.

## 7 2. Major System Components

8 In its most basic form, the system uses a program delivery system 200 in conjunction with  
9 a conventional concatenated cable television system 210. As shown in Figure 1, the program  
10 delivery system 200 generally includes (i) at least one operations center 202, where program  
11 packaging and control information are created and then assembled in the form of digital data, (ii)  
12 cable headend 208 or remote site for receiving and distributing program signals, and (iii) a  
13 concatenated cable system 210 that includes many subscribers having in-home set top terminals  
14 220 and remote controls.

15 The program delivery system 200 transports digital signals or analog signals to the cable  
16 headend 208 via satellite 206 or terrestrial link 218. The signals are then transmitted by the cable  
17 headend 208 through the concatenated cable television system 210. Within the cable headend  
18 208, received signals may be decoded, demultiplexed, managed by a local distribution and  
19 switching mechanism (such as a network manager 214), stored by a file server 215 for  
20 subsequent selection and distribution over the concatenated cable television system 210 to  
21 subscribers. Although concatenated cable television systems 210 are the most prevalent  
22 transmission media to the home, television lines, cellular networks, fiberoptics, Personal  
23 Communication Networks, ATM networks, and similar technology for transmitting to the home  
24 can be used interchangeably with this program delivery system 200.

25 Within the cable headend, the network manager 214, file server 215 and signal processing  
26 equipment 209 work in unison with one another to receive various programming and control  
27 signals and store such signals for intelligent selection and distribution to set top terminals. In this  
28 configuration, the cable headend 208 is capable of processing various signals from a number of  
29 sources. The cable headend 208 can receive and process RF signals 222 received over satellite

1 206, ATM data 226 received from various ATM networks, local feeds 224 and other data and  
2 signals received over terrestrial links 218. Such signals can be received by either the network  
3 manager 214 or the signal processing equipment 209 residing within the cable headend 208.

4 After reception and processing, either the network manager 214 or the signal processing  
5 equipment 209 transfer the data and/or program signals to the file server 215 for storage. The  
6 network manager 214 and signal processing equipment 209 may be linked by one or more  
7 connections 228 in order to exchange data, control information and programming signals.  
8 Similarly, the network manager 214 may access the file server 215 through a link or connection  
9 230, while the signal processing equipment 209 may be linked or connected to the file server 215  
10 through a similar, but independent, interface 232. These links, connections and/or interfaces  
11 accommodate the various processing functions performed by the network manager 214 and other  
12 components at the cable headend 208 in the program delivery system 200.

13 The set top terminals 220 residing in the program delivery system 200 may be configured  
14 to accept various types of signals. Some set top terminals 220 may be adapted to receive analog  
15 signals in standard NTSC format for use by a standard television. Other set top terminals 220  
16 may be adapted to receive digitally compressed programming, and thus, may require a  
17 decompressor to be housed within such a set top terminal 220. The decompressor would  
18 decompress any compressed signals so that other set top terminal 220 hardware could convert  
19 the decompressed signals into analog signals for television display. The program delivery system  
20 200 is flexible enough to accommodate various digital compression techniques, including MPEG  
21 or MPEG2 signaling.

22 Each set top terminal 220 located at a subscriber location includes a subscriber interface  
23 (not shown). The subscriber interface is typically a device with buttons located on the set top  
24 terminal 220 or a portable remote control (not shown). In the preferred embodiment, the  
25 subscriber interface is a combined alpha character, numeric and iconic remote control device,  
26 which provides direct or menu-driven program access. The preferred subscriber interface also  
27 contains cursor movement and go buttons, as well as alpha, numeric and iconic buttons. This  
28 subscriber interface and menu arrangement enables the subscriber to sequence through menus  
29 by choosing from among several menu options that are displayed on the television screen. A

1 subscriber may bypass several menu screens and immediately choose a program by selecting the  
2 appropriate alpha character, numeric or iconic combinations on the subscriber interface.

### 3 3. Operations Center

4  
5 The operations center 202 performs two primary services: (i) packaging television  
6 programs for transmission, and (ii) generating the program control information signal. The  
7 operations center 202 typically receives television programs from external sources in both analog  
8 and digital format. Examples of external program sources are sporting events, children's  
9 programs, specialty channels, news, advertisements, infomercials or any other program source  
10 that can provide audio or video signals. Once the programs are received from the external  
11 program sources, the operations center 202 digitizes (and preferably compresses) any program  
12 signals received in analog form.

13 The operations center 202 packages the programs into groups and categories which  
14 provide optimal marketing of the programs to remote sites, cable headends, and subscribers. For  
15 example, the operations center 202 may package the same programs into different categories and  
16 menus for weekday, prime time and Saturday afternoon viewing. The operations center 202  
17 packages the television programs in a manner that enables both the various menus to easily  
18 represent the programs and the subscribers to easily access the programs through the menus.

19 Once the program packages have been created, the operations center 202 generates a  
20 program control information signal that is delivered with the program packages to the cable  
21 headend 208 and/or set top terminals 220. The program control information signal contains a  
22 description of the contents of the program package, commands to be sent to the cable headend  
23 208 and/or set top terminals 220, and other information relevant to the signal transmission. This  
24 signal may include information on program packages (e.g., channel number, program title,  
25 program length, program category, start times, etc.) and menu content (e.g., menu locations of  
26 messages, graphics and video; menu colors; text fonts, sizes and styles; and other menu  
27 information)

28 Upon packaging the signals and creating the program control information signal, the  
29 operations center 202 may employ digital compression techniques to increase existing satellite  
30 transponder capacity. Preferably, the signals are digitally compressed in MPEG or MPEG2

1 format. The program packages and program control information signal are subsequently  
2 delivered by the operations center 202 over satellite 206 to the cable headend 208 or the set top  
3 terminals 220. The operations center 202 may also provide data and control information over  
4 terrestrial link 218 to the cable headend 208.

#### 5 4. Cable Headend

6 After the operations center 202 has compressed and encoded the program signals and  
7 transmitted the program and program control information signals to the satellite, the cable  
8 headend 208 receives and further processes the signals before the signals are relayed to each set  
9 top terminal 220. Each cable headend site is generally equipped with multiple satellite receiver  
10 dishes. Each dish is capable of handling multiple transponder signals from a single satellite and  
11 sometimes from multiple satellites.

12 As an intermediary between the set top terminals 220 and the operations center 202 (or  
13 other remote site), the cable headend 208 performs two primary functions. First, the cable  
14 headend 208 acts as a distribution center, or signal processor, by relaying the program signals to  
15 the set top terminal 220 in each subscriber's home. In addition, the cable headend 208 acts as  
16 a network manager 214 by receiving information from each set top terminal 220 and processing  
17 such information and passing it on to an information gathering site such as the operations center  
18 202.

19 A detailed description of these functions is found in a copending application, U.S. Serial  
20 No. 08/160,280, filed December 2, 1993, entitled NETWORK CONTROLLER FOR CABLE  
21 TELEVISION DELIVERY SYSTEM, now U.S. Patent No. 5,600,364, which is incorporated  
22 herein by reference.

23 The network manager 214 works in unison with the signal processing equipment 209 and  
24 file server 215 to manage cable headend 208 operations. The network manager 214 oversees  
25 signal reception, processing, storage, and intelligent selection, and distribution of video, audio  
26 and data signals to subscribers in the program delivery system 200. The signals may include:  
27 (i) audio and video signals for a given program, either in analog or digital forma, and (ii) data that  
28 may consist of authorization codes, menu information, program packaging information, text  
29 messages and other control and configuration information.

1           The network manager 214 is capable of processing upstream information received from  
2           the set top terminals 220, whether such information is received by a telephone line (not shown)  
3           or upstream over the concatenated cable system 210. In this configuration, the network manager  
4           214 may, for example, compile programs watched information and perform real time processing  
5           of subscriber requests for programs. With these capabilities the network manager 214 can  
6           oversee and perform various system services, including: (1) near video-on demand (NVOD), (2)  
7           virtual video-on-demand (VVID), (3) video-on-demand (VOD), (4) interactive program  
8           services, (5) program suggestion features, (6) advertisement targeting, and (7) account and billing  
9           processing.

10           In the preferred system, RF signals 222 are received at the cable headend 208, along with  
11           local feeds 224 and ATM data 226. The RF signals 222 may include both broadcast signals in  
12           analog format and digital programming signals and program control information in digitally  
13           compressed format, such as MPEG or MPEG2. In addition, the cable headend 208 may receive  
14           data and control information over terrestrial link 218 from the operations center 202.  
15           Collectively, these signals and data are processed by the signal processing equipment 209 and/or  
16           network manager 214. All analog signals are converted to digital format encoded and  
17           compressed.

18           Once all signals are in a compatible digitally compressed format (e.g., MPEG2), these  
19           signals in the form of digital data are stored in the file server 215. The file server may be a  
20           commercially available file server that can store between 10 to 50 gigabytes of digital data. Such  
21           file servers are commercially available, including those manufactured by Hewlett Packard and  
22           Digital Video.

23           After the digital signals are stored in the file server 215, each digital signal may be  
24           accessed 230 or 232 by the network manager 214 or signal processing equipment 209 and sent  
25           over the concatenated cable system 210 to the set top terminals 220. Depending on the type of  
26           set top terminal 220 involved, programs may be intelligently selected upon request from the set  
27           top terminal 220 itself or some other device (e.g., telephone) at each subscriber location.  
28           Programs, menus, and advertisements, for example, may be provided to individual set top  
29           terminals 220 in this configuration based on either a request received from a subscriber or some



1 other information used by the network manager 214. Alternatively, the network manager 214  
2 can oversee or manage the signal processing equipment 209 in intelligently selecting the  
3 program, menu, or advertisement for distribution.

4 Upstream requests from the set top terminals 220 can be processed at the headend 208  
5 by either the network manager 214 or the signal processing equipment 209 in the form of  
6 subscriber requests. Again, depending on the type of set top terminal 220 involved, upstream  
7 data transmissions may be processed in real time or non-real time. The preferred system uses real  
8 time processing of upstream data transmissions in order to accommodate, for instance, analog  
9 or digital video-on-demand program requests within the program delivery system 200.

## 10 5. Set Top Terminals

11 The program delivery system 200 may include many different types of set top terminals,  
12 including: (1) basic analog set top terminals, (2) analog pay-per-view (PPV) set top terminals,  
13 (3) analog impulse pay-per-view (IPPV), or video-on-demand (VOD) set top terminals, and (4)  
14 digital set top terminals. The network manager 214 and other equipment at the cable headend  
15 208 is flexible enough to oversee, manage and coordinate operations with different combinations  
16 of these set top terminals.

## 17 B. Network Manager Description

18 Figure 2 shows the present invention as part of a cable headend 208 having a file server  
19 215 capable of storing digital compressed data. The cable headend components shown in Figure  
20 2 include the network manager 214, the file server 215, signal reception equipment 234, an  
21 authorization component 236, and a set of channel modulators 238. The network manager 214  
22 performs many of its functions using its interface 232 with the file server and its interface 268  
23 with the authorization component 236 (which, in turn, is connected to the file server 215 over a  
24 separate connection or interface 235). The network manager 214 and other cable headend  
25 components all work with one another to provide the program delivery system 200 with NVOD,  
26 VVOD and VOD signaling capabilities. The signal reception equipment 234 receives RF signals  
27 222 (which may include both analog or digital broadcast signals and digital programming and  
28 control information signals), ATM data 226, and local feeds 224. The signal reception  
29 equipment 234 may: (i) place various signals in storage in the file server 215 in digitally

1 compressed format, (ii) send certain signals to the channel modulators 238 for distribution over  
2 the concatenated cable network 210' and/or (iii) send other signals to the network manager 214  
3 for processing.

4 In the embodiment shown in Figure 2, the signal reception equipment bypasses the file  
5 server 215, sending broadcast signals 239 over connection 240 directly to channel modulator 238  
6 for distribution to subscribers. The signal reception equipment 234 also transfers certain program  
7 control information and data to the network manager 214 over a control link or connection 242.  
8 In this way, the network manager 214 can receive the program control information signal from  
9 the operations center 202 or some other remote source through the signal reception equipment  
10 234.

11 The authorization component 236 can receive requests for programs from the set top  
12 terminals 220 either by telephone line 244 or upstream data transmissions 246 over the  
13 concatenated cable system 210. The authorization component 236 processes the subscriber  
14 requests, prompting the file server 215 to spool the program requested by the subscriber.  
15 Alternatively, the file server 215 may be instructed to transmit an authorization code to the  
16 subscriber to enable descrambling or reception of a specific program by the subscriber's set top  
17 terminal 220. The network manager 214 monitors all incoming requests to the authorization  
18 component 236 in order to maintain up-to-date information on programs watched and viewing  
19 habits. By monitoring and coordinating with the authorization component 236 and the file server  
20 215, the network manager 214 oversees, and in some cases initiates, the selection, spooling and  
21 transmission of programs, menus and advertisements to the subscribers in the cable distribution  
22 network 210'. The network manager 214 may also receive upstream data 246 directly.

23 Figure 3a shows a more detailed illustration of the cable headend 208 components with  
24 a file server 215 and network manager 214. As shown in the figure, the headend includes signal  
25 reception equipment 234, an authorization component 236, a file server 215, MPEG decoders  
26 250, a buffer with frame repeat 252, channel modulators 238, and the network manager 214. The  
27 network manager 214 includes several components. These components include a receiver 254  
28 or set of receivers 254 (including a demodulator 254', demultiplexer 254" and/or buffering  
29 circuitry 255), a work station 256, a program control information (PCI) signal processing

1 capability 258, a network management central processing unit (CPU) 260, data bases 262, control  
2 software 264 and an instruction memory 266 (which stores computer program instructions that  
3 may be executed by the network management CPU 260). These components are exemplary of  
4 the components that reside within the network manager 214; however, other components, such  
5 as additional storage (e.g., RAM, ROM, EPROM, and EEPROM), processors, work stations,  
6 receiver equipment, signal processing devices, and additional software may also be included in  
7 the network manager 214.

8 The network manager 214 uses such components in its coordination and management of  
9 cable headend 208 operations. For example, the network management CPU 260 is linked or  
10 connected to all other components in the network manager 214. The network management CPU  
11 260 also includes connections or links, either directly or indirectly, with other cable headend 208  
12 components.

13 As shown in Figure 3a, the network management CPU 260 is linked to the authorization  
14 component 236 through a data and signal interface 268 (which may be the same or an interface  
15 separate from the interface 235 shown in Figure 2 that connects the authorization component 236  
16 with the file server 215). The network management CPU 260 also coordinates and manages file  
17 server 215 functions through a separate interface 232. These interfaces between the network  
18 management CPU 260, on the one hand, and the authorization component 236 and file server  
19 215, on the other hand, may be direct or indirect through one or more interfaces. Such interfaces  
20 may be RS-232, RS-422, or IEEE-488 compatible. The network management CPU 260 also  
21 monitors and, in some instances, instructs the channel modulators 238 in regard to program  
22 distribution and signal processing activities over a separate connection or interface 269.

23 Within the network manager 214, the network management CPU 260 includes a number  
24 of internal connections, links, or interfaces. Such links, connections or interfaces include direct  
25 or indirect full duplex data and signal paths, including a connection 270 to receiver 254, a  
26 connection 272 to work station 256, a connection 274 to the PCI signal processing equipment  
27 258, a connection 276 with the data bases 262, a connection 278 with the instruction memory  
28 266, a connection 280 with the control software 264, as well as other connections to additional  
29 internal components as described herein. The network management CPU 260 uses these links,

1 connections, and interfaces to exchange data and program signals with other network manager  
2 components and devices. Using such components and devices, the network manager 214  
3 performs its cable headend 208 operations.

4 The receiver 254 or set of receivers 254 is equipped to receive upstream data  
5 transmissions 246 from the subscriber. This receiver 254 or receivers 254 may simply be a  
6 telephone modem or more sophisticated control receiver equipment that is adapted to receive  
7 upstream data transmissions 246 directly from the cable distribution network 210, 210' (Figures  
8 1 and 2). The network management CPU 260 coordinates such reception by the receiver 254 or  
9 receivers 254.

10 The PCI signal processing equipment 258 is interfaced with the cable headend 208 signal  
11 reception equipment 234. The PCI signal processing equipment 258 enables the network  
12 manager 214 to receive the program control information signal from the operations center 202  
13 or another remote site through an interface 242 with the signal reception equipment 234. The  
14 program control information signal is received by the network manager 214 and processed by the  
15 network management CPU 260 using the control software 264.

16 In some instances, the network management CPU 260 stores the data carried by the  
17 program control information signal. This data includes data on program packages and menu  
18 content and can be stored within the network manager databases 262. The network manager 214  
19 can modify the program control information signal and transmit the modified program control  
20 information signal to those set top terminals 220 in the cable distribution network 210' which  
21 require the use of such data in order to generate menus or perform other local processing  
22 capabilities.

23 The network manager 214 data bases 262 include a variety of databases in which data  
24 from upstream transmissions 246 from the subscribers can be stored. The databases 262 may  
25 also store information and data on program packaging, menu content, advertisements and billing.  
26 No set number of databases 262 are required for the network manager 214 to perform its  
27 operations, and a single temporary database may be used. In the preferred embodiment, however,  
28 the network manager 214 uses several databases 262 that are accessed 278 during network  
29 management operations.

1           The network management CPU 260 also acts with the instruction memory 266 as needed  
2 in order to run certain control and network management software 264. Such software may be  
3 stored in the instruction memory 266 or in one or more other storage locations within the network  
4 manager 214.

5           By maintaining links with the authorization component 236 and the file server 215, the  
6 network manager 214 is flexible enough to maintain up-to-date programs watched information.  
7 Such programs watched information can be based on upstream data transmissions 246 that are  
8 received over the cable distribution network 210, 210' or through a telephone line 244. The  
9 network manager's 214 connection 232 with the file server 215 allows the network manager 214  
10 to coordinate and manage intelligent selection and spooling of programs, menus and  
11 advertisements stored in the file server 215. Alternatively, the software resident within the  
12 network manager 214 may reside within the file server 215 itself or certain functions may be split  
13 between the two cable headend components.

14           The network management CPU 260, the control software 264 and the instruction memory  
15 266 are used to accomplish many of the processing capabilities of the network manager 214.  
16 Figure 3b illustrates the various levels of processing capabilities that can be performed by the  
17 network manager 214. Specifically, Figure 3b illustrates an example of the processing routines  
18 264' that are included within the control software 264 (shown in Figure 3a).

19           As shown in Figure 3b, the processing routines 264' include a Main Program 281 that  
20 calls a Reception routine 283 as subscriber communications are received. The first level of  
21 processing involves identifying the subscriber request 285. This level of processing can use a  
22 Program Request routine 293 and a Menu Request routine 295 as well as other routines 297 for  
23 other data requests. The second processing level involves processing the subscriber request 287.  
24 This second processing level may make use of several routines, including an NVOD routine 299,  
25 a VVOD routine 301, an Advertisement Targeting routine 303, an Interactive Program routine  
26 305, and a Program Suggestion routine 307. The third level of processing involves locating the  
27 data that corresponds to the subscriber's communication or request 289. Typically, this third  
28 processing level may involve the use of a Standard Menus routine 309 and/or a Custom Menus  
29 routine 311. The final processing level involves sending the data located in the previous step to

1 the subscriber 291. This final level of processing can involve a Spool Data routine 313 and a  
2 Text Overlay routine 315.

3 Using such a set of processing levels, the network manager 214 can use its control  
4 software 264 (Figure 3a) and processing routines 264' to: identify the type of a subscriber request  
5 285 received in the subscriber communications; process the subscriber's request 287 to determine  
6 the data to reply to the identified subscriber request; locate the reply data corresponding to the  
7 subscriber's request 289; and send the located reply data to the subscriber 291 for local processing  
8 by the subscriber's set top terminal. Figure 3b provides only an example of the various  
9 processing capabilities available within the network manager 214 (Figures 1, 2, and 3a) and those  
10 skilled in the art will recognize that a number of other variations in processing levels and routines  
11 are feasible.

12 Although other routines are feasible, the routines identified in Figure 3b can be used to  
13 perform the network manager's monitoring and management functions. The Reception routine  
14 283 is the initial routine called by the Main Program 281 upon receiving a subscriber  
15 communication. The Reception routine 283 can be used to interpret the subscriber  
16 communication. The Reception routine 283 designates the type of subscriber communication as  
17 a program request or a menu request (or, in other embodiments, as a data services request).  
18 Depending on the type of request, the Reception routine 283 calls either the Program Request  
19 routine 293 or Menu Request routine 295 (or the Other Data Requests routine 297).

20 With reference to Figures 3b, the Program Request routine 293 is one of the routines that  
21 identifies the type of request carried by the communications from a subscriber. The Program  
22 Request routine 293 is called by the Reception routine 283, 283' for all subscriber  
23 communications that are program related. The Program Request routine 283, 283' identifies the  
24 type of program request in real time as a NVOD program request, a VVOD program request, an  
25 interactive program request, and/or a request that may be used in the targeting of advertisements  
26 to a subscriber. The Program Request routine identifies the request and calls the appropriate  
27 routine to process the program request.

28 The Menu Request routine 295 is another routine that identifies the type of request carried  
29 by the communications received from a subscriber. The Menu Request routine 295 is called by

1 the Reception routine 283 for all subscriber communications that are menu related. The Menu  
2 Request routine 295 identifies the type of menu request in real time as a standard menu or a  
3 custom menu. The Menu Request routine identifies the request and calls the appropriate routine  
4 to process the menu request.

5 The NVOD routine 299 is one of the routines that can process a program request. The  
6 NVOD routine 299 is called by the Program Request routine 293 in order to process a request  
7 for a NVOD program. The NVOD routine 299 determines whether a menu or video will be  
8 displayed in response to the subscriber request. Where a video is to be displayed, the routine  
9 determines the channel carrying the requested program with the nearest start time (to the time of  
10 the subscriber's request). The subscriber will subsequently be sent data that instructs the  
11 subscriber's set top terminal to switch to that channel. The NVOD routine 299 calls the  
12 appropriate routine to locate and/or send the response to the subscriber.

13 The VVOD routine 301 is another routine that processes a program request. The VVOD  
14 routine 301 is called by the Program Request routine 293 in order to process a request for VVOD  
15 program. The VVOD routine 301 determines whether a menu or video will be displayed in  
16 response to the subscriber request. The VVOD routine 301 calls the appropriate routine to locate  
17 and/or send the response to the subscriber.

18 The Advertisement Targeting routine 303 is a routine that generates packages of  
19 television commercials and advertisements geared towards particular viewers. This routine may  
20 make use of a viewer's demographic information and/or viewing habits to determine those  
21 advertisements that are of most interest to that particular viewer. In so doing, the routine 374  
22 outputs packages of advertisements targeted towards each viewer.

23 The Interactive Program routine 305 is another routine that may process a program  
24 request. The Interactive Program routine is called by the Program Request routine 293 in order  
25 to process a request during an interactive program. The Interactive Program routine 305 makes  
26 use of a look-up table that pre-stores all possible subscriber answers to interactive questions  
27 posed during an interactive program. The use of a look-up table enables the routine to process  
28 subscriber communications and determine a message or video response that can be rapidly  
29 generated in order to perform real time interactivity.

1           The Program Suggestion routine 307 is a routine that responds to a menu request 295.  
2           The routine 307 generates particular menus displaying programs for subscriber selection that are  
3           geared towards particular subscribers. This routine may make use of a viewer's demographic  
4           information and/or viewing habits to determine those programs or program categories that can  
5           be suggested to the subscriber. The routine may also, for example, make use of text searches of  
6           program abstracts or query the subscriber for mood in order to determine a suggestion. Thus, the  
7           Program Suggestion routine 303 can determine whether video data or a menu should be sent to  
8           the subscriber in order to carry out the suggestion process.

9           Once one of the above routines that processes requests has been run, one of routines that  
10          can be used to locate data and generate menus can be called. The Standard Menu routine 309  
11          is a routine that is used to locate data in the file server 215 and generate a standard or generic  
12          menu that can be sent to any subscriber. The Standard Menu routine 309 makes use of menus  
13          that are pre-stored in the file server 215. The Standard Menu routine locates the data for the pre-  
14          stored menus so that the located data for the menu can be subsequently sent to a subscriber.

15          The Custom Menu routine 311 is a routine that is used to locate data and generate a  
16          custom menu that is to be sent to a specific subscriber. Because the custom menu must be  
17          rapidly created and sent to the subscriber, the Custom Menu routine makes use of a standardized  
18          menu format having pre-stored background sections so that MPEG data streams can be built for  
19          sections of the menu screen in anticipation of the subscriber's communication. In conjunction  
20          with the pre-stored menu sections, the routine also makes use of a look-up table that can be used  
21          to generate text messages of pre-determined lengths. The routine can then insert or interleave  
22          the generated text messages with the pre-built data streams in order to generate a customized  
23          menu screen in real time. Alternatively, the menu screen can be built in full and text messages  
24          overlaid using text overlaying techniques.

25          Once a menu has been located or generated or a program request has been otherwise  
26          processed, the MPEG data stream located or generated must be sent to a subscriber. The Spool  
27          Data routine 313 is a routine that is used to spool such located or generated data. The Spool Data  
28          routine 313 prompts the file server 215 to send the located or generated data stream to the  
29          subscriber.



1           The Text Overlay routine 315 is another routine that can be used to send the located or  
2 generated data to a subscriber. This routine allows data to be sent to a subscriber in the form of  
3 text that can be overlaid on a menu or program. The routine accommodates both methods of (i)  
4 sending text embedded in a signal carrying the menu data, which enables a set top terminal with  
5 a text generator to process the signal and text message and overlay the text onto the menu; and  
6 (ii) generating a text message and inserting the text message at the cable headend 208 into the  
7 signal that carries the menu data before the signal is distributed to the set top terminals 220.

8           In addition to the above routines, many other routines 297 may be used by the network  
9 manager in performing its processing functions. For example, an Account/Billing routine may  
10 be run to generate billing reports for each set top terminal 220. Those skilled in the art will  
11 recognize a number of other routines and processing flows that can be used to perform the same  
12 functions.

13           Figure 3c provides a sample decision tree 264'' for the various processing routines 264'  
14 that are depicted in Figure 3b. The sample decision tree 264'' illustrates the steps that the  
15 network manager 214 may perform in processing subscriber communications. For example,  
16 where a subscriber is viewing an interactive program and wishes to respond to a question asked  
17 during the interactive program, the subscriber's answer would be received by a Receive  
18 Subscriber Communications routine 283'. This routine 283' would interpret the subscriber's  
19 communication and identify the subscriber's answer as a program request, calling the Program  
20 Request routine 293.

21           The Program Request routine 293 would, in turn, call the Interactive Program routine 305  
22 in order to process the subscriber request. The data corresponding to the response to the  
23 subscriber's request (or "answer" in this example) would then be located and spooled for  
24 distribution to the subscriber by the spool data routine 313. If the response to the subscriber's  
25 answer processed by the interactive program routine 305 requires the use of a menu or another  
26 method for generating a question to the subscriber, the menus routines 317 would be called  
27 before the data is spooled by the spool data routine 313 or the question is overlaid on the  
28 interactive program using the text overlay routine 315. The decision tree 264" in Figure 3c

1 allows all subscriber communications and/or requests to be processed by the various routines 264'  
2 that are used with other network manager 214 components.

3 Figure 4 shows an embodiment of the present invention residing at a cable headend 208  
4 operating with a number of nodes 288 of basic analog set top terminals 290 at subscriber  
5 locations 292. As shown in the figure, the headend 208 components are capable of receiving  
6 signals from a plurality of sources, including RF signals 222, ATM data 226, local feeds 224, and  
7 broadcast signals 239. The RF signals 222 are received by integrated receiver decoders 235 and  
8 passed to a demultiplexer or set of demultiplexers 294. The RF signals 222 are received in  
9 digitally compressed format so that the signals may similarly be received by IRDs 235 and  
10 demultiplexed by demultiplexer 294 and stored in MPEG or MPEG2 format in the filer server  
11 215. File server 215 includes its own software and data processing capability 296, as shown in  
12 the figure.

13 Programs, menus and advertisements stored in digitally compressed format in the filer  
14 server 215 can be selected and spooled to a bus 298 for further processing and distribution to the  
15 basic analog set top terminals 290. Typically, the further processing includes a buffering device  
16 300, an MPEG decoder 302 and an analog modulator 304. The buffering device 300 stores  
17 individual frames of digital data which are decoded by the MPEG decoder 302 (which can  
18 convert digital data streams into analog signals) and subsequently modulated by the analog  
19 modulator 304. Various program signals are processed in this way and combined through RF  
20 combiner 306 for distribution to the analog set top terminals 290. The combined signals may  
21 also include broadcast signals 239 that have been received in analog form at the cable headend  
22 208 and simply amplified 308 and combined with the other signals through an RF combiner 306  
23 for distribution to the analog set top terminals 290.

24 The basic analog set top terminals 290 depicted in Figure 4 are the lowest end analog set  
25 top terminals available today. Such basic analog set top terminals 290 do not include any  
26 descrambling components. Instead, such terminals typically only include components capable  
27 of tuning to and processing signals within a standard 6 MHz bandwidth of programming for  
28 display. Thus, interdiction devices (not shown) are the only means of security in this  
29 embodiment of the system. Subscribers 292 simply purchase weekly, bi-monthly, or monthly

1 subscriptions to receive a set of programming channels. When an individual subscriber 292 has  
2 terminated a subscription to a set of programming channels, the interdiction device is used to jam  
3 the programming signals which the subscriber 292 is no longer entitled to receive.

4 Figure 5 shows another embodiment of the present invention operating within the cable  
5 headend 208 in which the cable distribution network 210' includes analog PPV set top terminals  
6 310. Such set top terminals are located at each subscriber location 292. As shown in the figure,  
7 each subscriber location 292 includes a telephone 312 which can be used for upstream data  
8 transmissions 246 for requests of PPV programs. The program requests are received over  
9 telephone line 244 by the authorization component 236. The authorization component 236 may  
10 exchange request information with the network manager 214 over an interface 268.  
11 Alternatively, the network manager 214 may receive the request directly from the subscriber's  
12 telephone 312 or the network manager 214 may simply monitor the request received by the  
13 authorization component 236 through an interface 268.

14 The authorization component 236 processes the request and provides the file server 215  
15 with an authorization code for a particular PPV program. The file server 215 uses its data  
16 processing software 296 to select the particular PPV program stored. The file server 215 spools  
17 the program to a bus 298 for subsequent processing for distribution to the analog PPV set top  
18 terminals 310. This configuration of headend components and analog PPV set top terminals 310  
19 allows for non-real time PPV program selection by individual subscribers 292 in the cable  
20 distribution network 210'.

21 Alternatively, the analog PPV set top terminals may include more sophisticated circuitry  
22 for upstream data transmissions so that the analog PPV set top terminals 310 would each include  
23 a data transmitter which would allow upstream data 246 to be sent to the cable headend 208 over  
24 the cable distribution network 210', rather than by using the telephone 312. In this instance, the  
25 authorization component 236 or the network manager 214 may include an automated PPV  
26 request reception system (not shown), which would automatically receive the upstream data  
27 transmissions 246 requesting individual PPV programs. In this way, an operator at the network  
28 manager 214 or somewhere else in the cable headend 208 would not be required to be involved  
29 in the PPV request processing.

1 In an embodiment with a more advanced analog PPV set top terminal, the set terminal  
2 may have a local authorization capability that allows the terminal to keep track of how many  
3 programs have been purchased. The numbers of programs are counted by the terminal and  
4 subsequently transferred upstream over the concatenated cable system 210 that provides the  
5 network manager 214 with the capability to tally the number of programs selected by the set top  
6 terminal 220. In an alternative embodiment, the analog PPV set top terminal does not have an  
7 upstream data transmission capability over the concatenated cable system 210, but rather the  
8 subscriber must request programs using a telephone to phone in the requests. In either event, the  
9 network manager 214 works with other cable headend equipment to authorize the PPV program  
10 selection. The program is subsequently spooled from the file server 215 and sent over the  
11 concatenated cable system 210 to the set top terminals 220.

12 Such pay-per-view requests using analog PPV set top terminals do not involve real time  
13 processing, accommodating only a near video-on-demand (NVOD) or non-real time request. The  
14 analog PPV set top terminals typically receive programs in scrambled format and must  
15 descramble the programs in order to display them. Such descrambling may be permitted by the  
16 cable headend 208 through an authorization code that is sent from the cable headend 208 to the  
17 set top terminals 220. Alternatively, the analog PPV set top terminals may be extended a credit  
18 line from the cable headend 208 so that programs may be selected for display without the need  
19 for a real time request.

20 Thus, once cable bills have been paid by the subscriber, the network manager 214 may  
21 initiate a download of further credit so that the subscriber may select additional programs. In this  
22 way, the subscriber's credit is refreshed once or twice a month as bills are paid. This capability  
23 is advantageous in certain circumstances since it does not require real time processing of  
24 upstream data transmissions from the subscriber.

25 Figure 6a illustrates another embodiment of the present invention operating within a cable  
26 headend 208 and with analog IPPV/VOD set top terminals 314. Each analog IPPV/VOD set top  
27 terminal 314 is capable of upstream data transmissions 246 in real time. Thus, once a subscriber  
28 292 makes a selection for a program, for example, the request is processed over the cable  
29 distribution network (not specifically identified in the figure) by sending an upstream data

1 transmission 246 to either the authorization component 236 or the network manager 214. This  
2 VOD program request is processed in real time in less than 2 milliseconds by either the  
3 authorization component 236 or the network manager 214. Either of these two components may  
4 perform the initial processing of the request independently or by working in unison and  
5 exchanging information over a common interface 268.

6 Once the request has been initially processed, the file server 215 is prompted by either  
7 the authorization component 236, network manager 214 or data processing software 296 resident  
8 within the file server 215. The system configuration is flexible enough to support program  
9 selection and processing software resident in any of these three components. Regardless of  
10 where the software resides, the file server 215 selects and spools the requested program from  
11 storage so that the program may be processed and delivered to the analog IPPV/VOD set top  
12 terminal 314. The receipt and processing of the request and the delivery of the selected and  
13 spooled program occurs all within 0.5 seconds or less in this configuration.

14 Typically, the analog IPPV/VOD set top terminal receives the program in a scrambled  
15 format such that the cable headend processing requires only the downstream transmission of an  
16 authorization code from the cable headend 208 to the set top terminal 220. If the analog  
17 IPPV/VOD set top terminal is not receiving the program in descrambled format, the network  
18 manager 214 prompts the file server 215 to spool the desired program for transmission to the  
19 subscriber.

20 The file server 215 may include its own software so that it can single-handedly process  
21 requests received from subscribers. In this alternative configuration, the network manager 214  
22 monitors the subscriber requests and the file server 215 selection and spooling of the desired  
23 program.

24 In general, there are two methods in which VOD requests from analog set top terminals  
25 314 are accommodated by the components in the cable headend 208. In the first method, the  
26 network manager 214 monitors or receives the upstream data transmissions 246 from the analog  
27 VOD set top terminals 314 over the cable distribution network. Such requests may be received  
28 by a receiver or through interface 268 from the authorization component 236. Once the request  
29 is received, the network management CPU 260 processes the request using its control software

1 264, accesses its instruction memory 266 as needed. Simultaneously, the authorization  
2 component 236 sends an authorization code to file server 215 so that the analog VOD set top  
3 terminal 314 that has requested a specific VOD program can be tuned to a specific preview  
4 channel until the requested program is ready to be viewed. In the preferred system, the network  
5 management CPU 260 may prompt the authorization component over a common interface 268  
6 to authorize the specific preview channel which carries the preview.

7 The network management CPU 260 begins a timer upon receipt of an initial request from  
8 a subscriber 292 for the requested VOD program. The timer typically should be set to expire  
9 after a few minutes (e.g., a specified time period of 3, 5, or 10 minutes). For instance, when the  
10 timer is set for a 5 minute period, the network manager 214 will receive or monitor requests for  
11 the same program which initiated the start of the timer. During the 5 minutes that the timer is  
12 running, any other requests for that same program will be compiled, grouped or counted by the  
13 control software 264 and processed by the network management CPU 260.

14 The analog VOD set top terminals 314 that have transmitted these subsequent requests  
15 for the same program will be tuned to a preview channel for the remainder of the 5 minute timer  
16 period. The network management CPU 260 determines whether the timer has expired. Once the  
17 timer expires (i.e., after the 5 minute window has expired), all analog VOD set top terminals 314  
18 that have requested the same program will subsequently be delivered or authorized to receive the  
19 requested program. The network management CPU 260 can prompt either the authorization  
20 component 236 or the file server 215 to begin delivery of the program (or to simply download  
21 the authorization code for a program that has already been delivered in scrambled format). In  
22 either event, the analog VOD set top terminals 314 that have requested the program within the  
23 5 minute timeframe will receive, or be authorized to receive, the requested program. The  
24 network management CPU 260 may prompt the authorization component over a common  
25 interface 268 to authorize reception. In this way, those subscribers that requested the same  
26 program within the specified time period will be authorized to receive the same channel that  
27 carries the requested program.

28 The processing software 296 residing within the file server 215 determines upon which  
29 program channel the requested program is to be viewed or which channel the analog VOD set

1 top terminals 314 have been authorized to descramble the program signal requested. The  
2 processing software 296 relates this information back to the network manager 214 through a  
3 common interface 232. In so doing, the network manager 214 can coordinate, monitor and  
4 manage VOD program selection and delivery.

5 Figure 6b illustrates the software processing steps performed by the network manager 214  
6 control software 264 in processing "virtual" video on demand (VVOD) program requests under  
7 the first method. The routine in Figure 6b makes use of a timer that is keyed to the length of  
8 preview time left before the program requested is to be displayed. This timer is initially set by  
9 default to zero.

10 As shown in Figure 6b, the first processing step involves receiving 320 a VVOD program  
11 request. Upon receiving the request, the next processing 322 step involves determining whether  
12 the requested program has been previously requested by another subscriber or subscribers within  
13 a set time period (e.g., 5 minutes). If the requested program has not been requested by another  
14 subscriber, the program preview timer will be set to 5 minutes according to the next processing  
15 step 324. Subsequently, the set top terminal requesting the program will be switched 326 to a  
16 channel displaying previews. The program preview timer will be decremented 328 until the  
17 program timer expires 330. If the program timer expires without receiving another request for  
18 the same program, the program requested will be enabled 332 for display.

19 If, however, another request is received for the same program 320, the program preview  
20 timer will be found to be greater than zero with some preview time left on the channel displaying  
21 the preview. In this event, the additional request for the same program is subsequently processed  
22 by switching 326 the subsequent requesting set top terminal to the channel displaying the  
23 preview. The timer for the program's preview continues to decrement 328 until it expires 330.  
24 Once the timer has expired, all requests for the same program received during the 5 minute timer  
25 period are processed by enabling 332 program delivery.

26 Functionally, the processing steps in Figure 6b handle all incoming VVOD requests in  
27 the first method. An initial program request is received and the program preview timer is set to  
28 a time period of 5 minutes. The set top terminal requesting the program is switched to a channel  
29 that displays a preview. Once the set top terminal has been switched to preview, other requests

1 for the same program may be received 320 before the timer expires. If another request is not  
2 received before the timer expires, the processing steps await time-out and subsequently enable  
3 program delivery 332. If another request for the same program is received before the timer  
4 expires, the additional request for the same program is processed by switching the subsequent  
5 requesting set top terminal to the channel displaying the preview. When the timer expires, all  
6 requests are grouped and program delivery is enabled 332. Once the timer has expired and an  
7 additional request for the program is received 320, the additional request is handled like an initial  
8 request and the timer is restarted and reset to 5 minutes 324 and the process repeats itself for all  
9 incoming additional requests for a program.

10 In the second method, a request for a VVOD program is received, referring to Figure 6a  
11 from the analog VOD set top terminals 314 by the network manager 214, either directly or from  
12 the authorization component 236 over a common interface 268. Once the network manager 214  
13 has received the request for a VVOD program, the network manager 214, using its network  
14 management CPU 260 and control software 264, prompts the file server to start the requested  
15 VVOD program. Based on the prompts, the file server 215 selects and spools the program for  
16 processing for distribution to the specific analog VOD set top terminal 314 which requested the  
17 VVOD program. Simultaneously, the file server 215 transfers data back to the network  
18 management CPU 260 over interface 232, indicating that the program requested has been started  
19 and the channel number upon which the program has been scheduled for display. Subsequently,  
20 the network manager 214 waits for additional VVOD requests from the analog VOD set top  
21 terminals 314. Upon receiving a second request, the network manager 214 ascertains the amount  
22 of embedded preview time in the program signal and determines whether the request is within  
23 the lead in time period for previews embedded in the program signal (or MPEG program bit  
24 stream). If the embedded preview time has not expired, the network manager either prompts the  
25 authorization component 236 or the file server 215 directly so that the analog set top terminal 314  
26 that requested this VVOD program may be instructed as to which channel the program will be  
27 displayed. If the embedded preview time period has expired from the initial delivery of the  
28 MPEG program bit stream, then the control software 264 treats the request for the same program  
29 like an initial request and repeats the process of either prompting the authorization component



236 or the file server 215 to spool and prepare the program for delivery to the subscribers 292. Once the timer has expired, the network management CPU 260 may search for whether the program requested is currently showing.

Figure 6c illustrates the processing steps involved in processing a VVOD program request using the second method. The second method makes use of a preview timer that corresponds to the length of the preview time embedded in the program signal that is left before the program is ready to be displayed. As in the first method, this timer determines when and how a specific preview and program are to be displayed.

As shown in Figure 6c, the processing steps for the second method begin by receiving 334 a VVOD request for a specific program. The next processing step involves determining 336 whether any preview time is left to be displayed before the program itself is ready for display. If no preview time remains, the routine initiates 338 the display of a "join in progress?" banner. The subscriber is subsequently given the option 340 to join the requested program in progress. The routine interprets 340 the subscriber's response as to whether the subscriber wishes to join the program in progress.

If the subscriber chooses not to join the program in progress, the program preview timer will be set to a time length "L" 344. (This time period "L" is obtained by the network manager 214 from the file server 215 shown in Figure 6a.) Once the timer has been set to time "L," the routine prompts the file server to place the MPEG data on a given channel X 346. Subsequently, the set top terminal requesting the specific VVOD program is enabled 348 so that the set top terminal can receive the channel. The routine decrements the program timer 352 until the program timer has expired 354. Once the timer has expired, the network management CPU 260 may search for whether the program requested is currently showing. If another VVOD request for the same program is received 334 before the timer expires 354, the routine will determine that preview time is available 336 and switch 342 the set top terminal making the additional request to the channel that displays the program. The routine will then continue 350 to decrement 352 the program preview timer until the timer expires 354 (at which time the routine ends 356). If yet another request is received 334 after the program timer has expired (so that the timer is not greater than zero 336), the subscriber will receive a "join in progress?" banner 338. If the

1 subscriber chooses to join the program in progress 340, the subscriber will simply be switched  
2 342 to the channel displaying the program.

3 Functionally, the routine in Figure 6c allows, referring to Figure 6a the network manager  
4 214 to await an initial VVOD program request. Once an initial VVOD program request has been  
5 received the routine prompts the file server 215 to initiate program delivery. As the file server  
6 215 begins the program delivery process, the network manager 214 receives confirmation  
7 information including channel number (upon which the program will be displayed) and the length  
8 of the embedded preview. Although the preview time length embedded in the program may be  
9 a variable length, the example in Figure 6c uses a length of "L" minutes. After receiving the  
10 confirmation information, the requesting set top terminal is enabled to receive 348 the embedded  
11 preview. Subsequently, the network manager 214 awaits the receipt of additional requests for  
12 the same VVOD program. If no other requests are received, the embedded preview time will  
13 eventually expire, enabling 348 display and/or delivery of the requested VVOD program.

14 If, however, another request for the same VVOD program is received, the routine  
15 determines whether the embedded preview time has expired. If the embedded preview time has  
16 not expired, the system returns to the step of switching 342 the additional requesting set top  
17 terminal to the channel displaying the embedded preview. If the embedded preview time has  
18 expired, the system displays the "Join in Progress?" banner. The system subsequently determines  
19 whether the subscriber wishes to join the VVOD program in progress. If the subscriber does not  
20 wish to join the VVOD program in progress, the additional request for the same VVOD program  
21 is treated as an initial request for a VVOD program and the processing steps begin anew. If the  
22 subscriber wishes to join the VVOD program in progress, the requested VVOD program is  
23 displayed or delivered as appropriate.

24 In either of the two methods depicted in Figures 6b or 6c, the analog VOD set top  
25 terminals 314 may be required to change channels in order to receive the requested VVOD  
26 program. Specifically, three separate types of analog VOD set top terminals 314 may be used  
27 with the configuration shown in Figure 6a. These types of terminals include an analog VOD set  
28 top terminal with: (1) a controllable tuner, (2) non-controllable tuner with a text generator, and  
29 (3) a non-controllable tuner without a text generator.

1 For requests involving an analog VOD set top terminal having a controllable tuner, the  
2 network manager 214 and file server 215 work in unison in order to download over the cable  
3 distribution network 210' the specific channel number upon which the requested program should  
4 be displayed. The controllable tuner will, upon receiving the download, tune the analog VOD  
5 set top terminal 314 to the proper channel.

6 Where the analog VOD set top terminal includes a non-controllable tuner, but has a text  
7 generator, the network manager 214 may prompt set top terminal 314 to generate a text message,  
8 which indicates to the subscriber 292 upon which channel the program is to be displayed. The  
9 subscriber 292 can then read the text message generated by the analog VOD set top terminal 314  
10 and manually change channels in order to view the program requested. Alternatively, where  
11 the analog VOD set top terminal 314 includes a non-controllable tuner, but does not contain a  
12 text generator, a menu must be downloaded from the file server 215 over the cable distribution  
13 network 210'. An appropriate text message is embedded in the download menu signal. In this  
14 way, the menu is displayed with the text message already shown upon it so that the subscriber  
15 292 can be informed upon which channel the requested program will be displayed.

16 The configuration shown in Figure 6a also accommodates real time menu generation and  
17 processing capability. Menus are prestored in MPEG format in file server 215 so that they can  
18 be ready for selection and spooling by the file server 215 and delivery to individual subscribers  
19 292 as the subscriber sequences the menus. In effect, the menu generation system anticipates the  
20 types of menus that the subscriber 292 will select for display. Menus are prestored in the file  
21 server 215 for each and every possible variation of menus and menu sequencing combinations.  
22 By prestoring these variations and combinations of menu screens, menus are ready for selection  
23 and spooling by the file server 215 in real time. Series of menus and submenus may subsequently  
24 be sequenced in real time based on subscriber input.

25 A menu may be selected and spooled by the file server 215 and delivered to the subscriber  
26 292 as in program delivery previously described. The menu currently displayed on the  
27 subscriber's 292 television is stored in a buffer 300, which has the capability to store one or more  
28 frames of MPEG data. In this way, the file server 215 would not be required to continuously  
29 spool the menu to be displayed on the subscriber's 292 television.

1           Instead, once the MPEG frame containing the data for the menu has been selected,  
2           spooled and stored in the buffer 300, the frame can be repeated as necessary without reaccessing  
3           the file server 215. The buffer 300 repeats the previous frame of MPEG data containing the  
4           menu screen so that the subscriber 292 receives the same menu until a new selection is made.  
5           Once the subscriber selects another menu, the subscriber's request is sent in the form of upstream  
6           data 246, like a request for a program, to the network manager 214.

7           Upon receiving and processing the request, the network manager 214 prompts the file  
8           server 232 to sequence the menus. The file server 215 selects the next menu in response to the  
9           request and spools the MPEG frame containing the next menu for processing. The MPEG frame  
10          for the next menu is again stored in buffer 300. The process repeats itself, again waiting for the  
11          subscriber's 292 next selection. With this configuration and processing capability, the system  
12          intelligently accommodates real time menu generation and processing capability remotely from  
13          the cable headend 208.

14          Figure 7 shows another embodiment of the present invention operating with several nodes  
15          of digital set top terminals 700. In this configuration, the cable headend 208 components must  
16          include digital modulators 702 in order for digital signals to be distributed to subscribers 292.  
17          The embodiment of Figure 7 uses quadrature amplitude modulators (QAM) 702, but the artisan  
18          may use any suitable digital modulator. A combiner 704 may be used to combine various digital  
19          MPEG bit streams in providing such transmissions to the subscribers 292. The bit streams may  
20          include audio and video programs received via satellite 222 as well as ATM data 226 and local  
21          feeds 224. These signals are stored in digitally compressed (e.g., MPEG or MPEG2) format in  
22          the file server 215. Once a program or a set of data is selected and spooled by the file server 215  
23          in digitally compressed format, the signal does not require a decoder. Instead, digital modulators  
24          702 and other signal processing devices (e.g., combiner 704) may be used in the distribution of  
25          the digitally compressed signals.

26          As shown in Figure 7, broadcast signals may be accommodated in this configuration  
27          through the use of an MPEG encoder 706 and another digital modulator 708 to convert the  
28          broadcast signals into a digitally compressed format compatible with other signals being  
29          distributed in the cable distribution network 210' (shown in Figure 7 as 288, 292 and 700,

1 including the connections shown therebetween). In this configuration, the program delivery  
2 system 200 can operate in the digital environment with digital set top terminals. Such digital set  
3 top terminals are adapted to receive digitally compressed program signals and control  
4 information in MPEG format. The digital set top terminals may include an MPEG decoder and  
5 decompressor so that the digitally compressed program signals may be displayed on the  
6 subscriber's television.

7 Menu information may be downloaded to these set top terminals 220 from the cable  
8 headend 208 in MPEG format, which may be displayed like any other program signal.  
9 Alternatively, the digital set top terminals may include a microprocessor and graphics processing  
10 capabilities in conjunction with menu generation software in order to generate menus locally at  
11 the set top terminal 220. In either configuration, the digital set top terminals include upstream  
12 data transmission hardware so that real time requests for programs may be transmitted upstream  
13 over the concatenated cable system 210 for processing at the cable headend 208.

14 Such requests are processed at the cable headend by the signal processing equipment 209  
15 and/or the network manager 214. The requested program is subsequently selected and spooled  
16 by the file server 215 and transmitted downstream over the concatenated cable system 210 to the  
17 set top terminal 220. In this scenario, all transmissions, whether data or programming signals,  
18 are in digitally compressed (e.g., MPEG or MPEG2) format.

19 With this configuration, subscriber communications and requests may be processed from  
20 upstream data 246. The configuration accommodates VOD requests, NVOD requests, and  
21 VVOD requests, as well as advertisement targeting, interactive programming, and program  
22 suggestion capabilities. These features and capabilities may be provided through the use of real  
23 time processing of upstream data communications and/or the use of standard or custom menus.

24 Figure 8 illustrates another embodiment of the present invention operating with a number  
25 of different types of set top terminals 700, 314, 310, 290 (including the connections shown  
26 between these components). As shown in the figure, the embodiment operates with digital set  
27 top terminals 700, analog IPPV/VOD set top terminals 314, analog PPV set top terminals 310  
28 and basic analog set top terminals 290. This embodiment combines the various combinations

1 of hardware components described above in order to accommodate a mixed analog and digital  
2 network of set top terminals.

3 The configuration shown in Figure 8 enables the network manager 214 to identify  
4 requests from subscriber communications and process those requests, as described above.  
5 Typically, data stored in the file server 215 which corresponds to a response to a subscriber's  
6 request will be located and sent to the subscriber 292 over the cable distribution network 210'  
7 (shown in Figure 8 as 288, 290, 292, 310, 314 and 700). The configuration shown in Figure 8  
8 can (subject to limitations in set top terminal processing capability) accommodate VOD requests,  
9 NVOD requests, and VVOD requests, as well as advertisement targeting, interactive  
10 programming, and program suggestion capabilities.

### 11 C. Network Manager Processing Routines

#### 12 1. Receiving Information from Set Top Terminals

13 Referring to Figures 3b and 3c, the network manager 214 uses the Reception routine 283  
14 or 283' to begin processing subscriber communications as they are received. Subscriber  
15 communications are received by the network manager 214 either directly through an upstream  
16 data receiver 254 or indirectly through an interface 268 to the authorization component 236.  
17

18 The Reception routine 283 can be used by the network management CPU 260 to interpret  
19 each subscriber communication and designate the type of subscriber communication as a program  
20 request or a menu request (or, in other embodiments, as a data services request). Depending on  
21 the type of request, the Reception routine 283 calls either the Program Request routine 293 or  
22 Menu Request routine 295 (or the Other Data Requests routine 297) to identify the request as  
23 either a program request or menu request.  
24

25 In designating the subscriber communication as a program request or a menu request, the  
26 Reception routine 283 or 283' must receive upstream data transmissions 246 from a subscriber  
27 292. The upstream data transmissions may require demultiplexing and/or decompressing so that  
28 the data can be interpreted. In this way, any control or header information may be read or  
29 stripped from the data streams that are received by the network manager 214. The read or

1 stripped data can then be used to designate or determine whether a subscriber communication  
2 involves a program request or a menu request.

3 Once a subscriber communication has been designated as program request or a menu  
4 request, the request can be processed by the various routines in the system. An example of  
5 processing VVOD program requests is described in reference to Figures 6b and 6c above.

## 6 2. Advertisement Targeting

7 The network manager is capable of processing subscriber communications in order to  
8 target specific commercials and advertisements to a subscriber. Such advertisement targeting  
9 may be based on historical viewing (or programs watched) data and/or other data that is available  
10 at the network manager 214. Advertisements that may be targeted include video, commercials  
11 and infomercials, with infomercials being time-varying video segments (e.g., thirty seconds,  
12 fifteen minutes).

13 Advertisements can be targeted using at least three different advertisement insertion  
14 methods, namely where the targeted advertisement is: (i) inserted at the cable headend 208 and  
15 sent to a subscriber 292 with an analog set top terminal 220, (ii) inserted at the cable headend 208  
16 and sent to a subscriber with a digital set top terminal 220, or (iii) embedded in a program signal  
17 (e.g., inserted at a remote location such as the operations center) and simply relayed without  
18 change by the cable headend 208 to any set top terminal.

19 In the first method, each MPEG decoder 302 should be capable of identifying a point in  
20 a program signal in which an advertisement can be inserted. This may be accomplished by using  
21 a MPEG decoder 302 that is capable of hearing or decoding a "Q tone" in the program signal.  
22 The Q tone provides the MPEG decoder 302 (and the network manager 214, through its  
23 connection 269 with the output of the MPEG decoder 302) with an advance indication of the  
24 point in the program where an advertisement should be inserted. The Q tone provides a set time  
25 (e.g., 30 or 60 seconds) after which the advertisement should begin.

26 Thus, once the MPEG decoder has decoded the digital program signal into an analog  
27 signal and received or decoded the next Q tone in the program, the network manager 214 receives  
28 an advance indication that an advertisement may be inserted by the file server 215. The network  
29 management CPU 260 prompts the file server 215 to suspend spooling of the program MPEG

1 frames, and to select and spool the advertisement MPEG frames. The advertisement MPEG  
2 frames are decoded and distributed to the subscriber in place of the program signal. Once the  
3 advertisement ends, the MPEG frames for the program signal are restarted and spooled from the  
4 filed server 215.

5 Such insertion requires the MPEG decoder to monitor for a Q tone and, upon decoding  
6 a Q tone, prompt or inform the network management CPU 260. The network manager 214 then  
7 informs the file server 215 of the point at which the advertisement should begin in the program.  
8 At the appropriate time, the file server 215 selects and spools the advertisement and the  
9 advertisement is decoded from MPEG format to an analog signal and processed for display in  
10 place of the program signal.

11 In the second method, the network manager CPU or file server monitors the selection and  
12 spooling of each MPEG I-frame as it is processed for display. The network management CPU  
13 may accomplish this monitoring function through its connection 232 with the file server 215 or  
14 its connection 269 with the digital modulators 702. Through either connection or link 232 or  
15 269, the network management CPU can read the I-frames that are sent to the subscribers. In the  
16 preferred system, however, the file server 215 informs the network manager CPU of upcoming  
17 advertisement time. The file server 215 can perform this function by sending confirmation  
18 frames or codes back to the network manager 214 as frames are spooled. Once an I-frame is  
19 spooled that indicates that an advertisement space is available in the MPEG data stream for the  
20 program being processed, the network management CPU 260 can prompt or instruct the file  
21 server 215 to select and/or spool a specific advertisement to be sent to a particular subscriber 292  
22 in that advertisement space.

23 In the third method, the advertisement is simply embedded in the program signal before  
24 being stored at the file server 215 (whether originally in analog or digital format). This method  
25 accommodates the use of national advertisement inserts that are initiated at a remote site such  
26 as at the operations center 202. In this way, advertisements can be scheduled on a national or  
27 regional, rather than a local basis. This also accommodates the network manager adding  
28 advertisements to programs before storage at the file server 215.



1           Advertisements may be inserted before, during or after a program. Advertisements during  
2 programs and after programs may be targeted in advance as opposed to real-time targeting.  
3 Using any of the above three methods, advertisements may be inserted into program signals for  
4 distribution to the subscribers 292. Before, however, an advertisement may be inserted, the  
5 specific advertisement must be intelligently selected and spooled from the file server 215.

6           Advertisements may be intelligently selected for targeting using at least four techniques.  
7 These techniques include: (1) using programs watched data, demographics data, and/or other  
8 data stored in the network management databases 262 to target an individual subscriber with a  
9 specific advertisement for display; (2) collectively analyzing programs watched matrices,  
10 demographics information or other data stored in the network management databases 262 in  
11 order to target a group of set top terminals 220 with an advertisement; (3) performing an  
12 independent advertisement insertion using the network manager 214 components; and (4)  
13 ignoring the advance indication for an advertisement insertion so that the advertisement  
14 embedded in the program signal sent from the operations center 202 or another remote source  
15 can be sent to a subscriber 292 without interruption.

16           Using the first technique, advertisements may be targeted to individual subscribers based  
17 on programs watched data, demographic data and/or other data stored in the network  
18 management databases 262. Various data analysis techniques may be used to target  
19 advertisements. For example, where programs watched information is used, the network  
20 manager 214 may process a programs watched matrix in order to assist in the intelligent selection  
21 of an advertisement for targeting.

22           The network manager 214 stores a programs watched matrix for each subscriber in the  
23 cable distribution network 210'. The programs watched matrices are stored in the network  
24 management databases 262 and updated as requests for programs are received in the upstream  
25 data transmissions 246 from a subscriber 292. Various other methods of storing program  
26 watched data may be used.

27           Figure 9 illustrates a sample programs watched matrix. As shown in the figure, the  
28 matrix is stored in a format with rows of time slots and columns of program categories. The  
29 example in Figure 9 shows six four-hour time slots and various program categories, including

1 sports, news, movies, children and entertainment. The time slots and program categories may  
2 be varied as appropriate.

3 As subscriber requests are received, the network manager 214 processes these requests  
4 and simultaneously updates the programs watched matrix for that subscriber once a program has  
5 been requested. In this way, the network manager 214 maintains an up-to-date programs watched  
6 matrix for each subscriber in the cable distribution network 210'. These programs watched  
7 matrices may be used in determining the advertising material that is sent to a particular subscriber  
8 292.

9 For instance, upon receiving an advance indication of an advertisement insertion point  
10 in a program, the network manager 214 may read the counts for the various program categories  
11 in the current time slot from the stored programs watched matrix for that subscriber and select  
12 an appropriate advertisement. The network manager 214 uses its network management CPU 260  
13 and control software and/or instruction memory 266 in order to complete the processing. For  
14 example, the network management CPU 260 determines the program category with the most  
15 frequently watched programs (i.e., the program category having the most counts). Once the  
16 network management CPU 260 has identified the most frequently watched program category, an  
17 advertisement may be selected from a corresponding advertisement category.

18 Typically, advertisements may be stored in the file server 215 by categories that  
19 correspond to the program categories so that advertisements may be easily located.  
20 Advertisements may be located by providing the file server 215 with prompts generated by the  
21 network manager 214. In this way, stored advertisements may be located by the file server 215  
22 by: interpreting the program category (that is determined by the network manager 214 and  
23 provided during processing); pointing to the stored advertisement that corresponds to the program  
24 category; and, upon locating the desired advertisement, spooling or providing the selected  
25 advertisement to an MPEG decoder and/or channel modulator for distribution to the set top  
26 terminal sought to be targeted with the intelligently selected advertisement.

27 Advertisement categories may include sports, news, movies, children and entertainment.  
28 A number of other advertisement categories or subcategories may be used to group the  
29 advertisements stored in the file server 215 (as described in U.S. Serial No. 08/160,280 cited

1 above). Once the network manager 214 has determined the appropriate advertisement category,  
2 the network manager 214 can instruct or prompt the file server 215 to select and spool the  
3 advertisement for insertion into the program signal using any of the insertion methods described  
4 above.

5 In determining the program category having the most frequently watched programs or  
6 counts, the network management CPU 260 and control software 264 is flexible enough to choose  
7 between program categories that have an equal number of programs watched counts. In order  
8 to break such a tie, the network management CPU 260 and control software 264 can use  
9 demographics or other data stored in the databases 262 to weight the program categories and  
10 determine the category of most importance to a particular subscriber 292. Alternatively, a  
11 program category may be selected by default.

12 Various weighting algorithms may be used to determine the most important program  
13 category where more than one category has the same number of programs watched counts. In  
14 addition, a number of correlation algorithms may be used to correlate the program category  
15 selected with the advertisement category or subcategory from which the targeted advertisement  
16 is to be selected.

17 The second technique for determining or identifying an advertisement for targeting  
18 involves intelligently selecting an advertisement for a group of subscribers. Generally, a group  
19 of subscribers is formed from a group of requests for the same program and the network manager  
20 targets that group of subscribers with the same advertisement. This technique may best be  
21 applied in conjunction with the VVOD program request processing methods described above.  
22 For example, the network manager 214 can group together all subscribers who request the same  
23 program within a certain time period (e.g., a five-minute interval). Thus, as multiple requests for  
24 the same program are received, the programs watched matrices for those subscribers who have  
25 requested the program within the designated time period may be collectively analyzed. In this  
26 way, the programs watched matrices for those subscribers may be accumulated and an  
27 advertisement targeted to that group of subscribers can be determined based on an accumulated  
28 programs watched matrix. Once the programs watched matrices have been accumulated to

1 generate such an accumulated or collective programs watched matrix, the processing steps are  
2 analogous to those performed in the previous technique.

3 The third technique allows the network manager 214 to simply select an advertisement  
4 for insertion into a program signal. The selection can be independent of subscriber-specific data,  
5 thereby accommodating insertions for such purposes as local advertisements.

6 The fourth technique allows the network manager 214 to ignore any advertisement  
7 insertion indications. This technique allows the operations center 202 or another remote site to  
8 insert an advertisement into the program signal that will be distributed to all subscribers 292.  
9 This technique accommodates the national advertisement insertion method described above.

### 10 3. Spooling Data and Text Overlay

11 The network manager 214 works with the file server 215 to either locate or generate an  
12 MPEG data stream that can be sent to a subscriber. The Spool Data routine 313 enables the  
13 network manager 214 to perform this function and spool such located or generated data. In  
14 general, the spool data routine 313 can prompt the file server 215 to send the located or generated  
15 MPEG data stream to the subscriber. In order to accomplish this function, the network manager  
16 214 stores the addresses of all MPEG data streams stored in the file server 215. The network  
17 manager 214 stores these addresses in its databases 262. Alternatively, the addresses may be  
18 stored in RAM. With the addresses, the network manager 214 can prompt or instruct the file  
19 server 215 to spool a specific MPEG data stream identified by its unique address.

20 The network management CPU 260 may be used to provide the prompts and/or  
21 instructions to the file server 215 through an interface 232 between the network management  
22 CPU 260 and the file server 215. In this way, the file server 215 is prompted and/or instructed  
23 to spool a specific MPEG data frame or stream. In response, the file server 215 will use its  
24 pointer to find or point to the address of the MPEG data frame or stream to be spooled and spool  
25 that set of data. The data may be spooled and sent to an MPEG decoder 250 and/or channel  
26 modulator 238 as appropriate.

27 The network manager 214 may also oversee and coordinate the generation of menus that  
28 include text overlays. In general, the text overlay may be performed using two overlaying  
29 techniques. In the first technique, a text message is sent to a set top terminal 220 in an embedded

1 signal. The set top terminal 220 must have a text generator and other processing capability in  
2 order to receive and extract the text message from the embedded signal. Using the extracted text  
3 message, the set top terminal 220 can use its text generator to generate the text message that was  
4 included in the embedded signal. Subsequently, the set top terminal 220 can overlay this text  
5 message onto a menu.

6 In the second overlaying technique, the text message is generated at the cable headend  
7 208 and inserted into a signal carrying the menu data. Using this technique, the text message and  
8 menu data are located or generated at the cable headend 208 under the supervision of the network  
9 manager 214. The text message is, in effect, "overlaid" on the menu screen at the cable headend  
10 208 before the menu is distributed to the set top terminals 220.

11 Using either of the two overlaying techniques, data may be sent to the subscriber in the  
12 form of text that is overlaid on a menu or program. Those skilled in the art will recognize other  
13 variations of these two techniques in order to perform the same function using the network  
14 manager 214.

#### 15 4. Menus

##### 16 a. Standard Menus

17 The network manager 214 can accommodate real-time menu generation and processing  
18 at the cable headend 208. Standard menus are those menus that are sent to many subscribers,  
19 such as an introductory menu or a program category menu. Standard menus are typically  
20 prestored in MPEG format in the file server 215. By prestoring the menus, each menu can be  
21 ready for selection and spooling by the file server 215 so that a menu can be delivered to a  
22 subscriber 292 as that subscriber 292 sequences the menus.

23 Menus can be sent from the operations center 202 or another remote site and stored in the  
24 file server 215. The network manager 214 maintains an accurate list of the address locations for  
25 every standard menu stored in the file server 215. The address locations of the menus are stored  
26 in the network management databases 262. Thus, once a subscriber 292 selects a menu, the  
27 menu is spooled from its prestored location in the file server 215 and delivered to the individual  
28 subscriber 292 that requested the menu. In effect, the menu generation system anticipates the  
29 types of menus that the subscriber 292 will select for display. By prestoring each and every

1 possible variation of the menus, the menus may be selected and spooled by the file server 215  
2 in real-time. In this way, menus and submenus are sequenced in real-time based on subscriber  
3 input.

4 In the preferred system, the MPEG decoder 302 includes a buffer 300 capable of  
5 repeating frames of MPEG data. Thus, once a subscriber 292 chooses to view a new menu, this  
6 request is received 254 by the network manager 214, which prompts or instructs the file server  
7 215 to select and spool the corresponding MPEG data frame or stream for that menu. Once the  
8 file server 215 has spooled the MPEG data, the frame for that menu is stored in buffer 300 and  
9 decoded by MPEG decoder 302 for subsequent distribution to the subscriber 292. While the  
10 subscriber 292 views or displays that menu, pondering the next menu or program selection, the  
11 buffer 300 repeatedly provides the MPEG decoder 302 with the same frame of MPEG data for  
12 that menu screen. In this way, the file server 215 is required to only once spool the MPEG data  
13 frame or stream for that menu until the subscriber 292 makes another selection. Similar  
14 buffering devices as described above may be used for MPEG data frames or streams that are  
15 destined for a digital set top terminal 700 so that the file server 215 is not required to  
16 continuously spool the same frames or streams of data.

17 b. Custom Menus

18 Generally, there are three preferred methods for customizing menus with the network  
19 manager: (i) text data embedded in analog signal, (ii) text data embedded in digital signal, and  
20 (iii) generated digital video data (which includes customized text in the video). To accomplish  
21 either of the first two methods, the network manager 214 processes a subscriber request and uses  
22 one or more look-up tables to generate text (for example, to look up letters, words, program titles,  
23 lines of text, or blocks of text) located in a database 262. Alternatively, the network manager 214  
24 may generate new text.

25 Once the network manager 214 has the desired text for a customized menu, it either sends  
26 the menu to a subscriber's set top terminal in an analog signal downstream (to an analog-capable  
27 set top terminal or output equipment), or digitizes and places the text into the private data packet  
28 format of MPEG2 or other digital video format (for a digital set top terminal or output  
29 equipment). If a set top terminal has the capability of overlaying text on video, then either an

1 analog signal or digital signal containing the customized text data may be sent to the set top  
2 terminal 220. The set top terminal 220 can then overlay the customized text on a menu. In  
3 addition to the text data, the network manager 214 may have video for the background of the  
4 menu spooled to the set top terminal 220 (either with digital video data or an analog signal).  
5 Since set top terminals are not standardized (and vary not only from manufacturer to  
6 manufacturer but also from version to version), the text signal for providing text for overlaying  
7 on a video menu varies greatly from terminal to terminal. Therefore, although workable, it  
8 becomes burdensome for the network manager 214 (specifically the programmer of the network  
9 manager) to accommodate the different text signal needs for each set top terminal type. Further,  
10 the first two methods do not work for set top terminals 220 without text generation and  
11 overlaying ability.

12 However, it is easier and preferred that the cable headend 208 output equipment generate  
13 the menus with text overlays rather than the set top terminals 220. To accomplish this, the output  
14 equipment receives a standard text signal (either analog or digital) and generates the text overlay  
15 prior to transmitting video to the set top terminal. In this manner menus can be created at the  
16 output equipment in the same standard manner for all subscribers and sent as video programs to  
17 subscriber set top terminals 220. Specifically, the output equipment repeats MPEG frames and  
18 overlays text onto the analog video created. The network manager 214 need then send out only  
19 one type of standard text signal for custom menu overlays.

20 Alternatively, method three, the generation of digital video data, may be used. The third  
21 method is preferred for some cable headend configurations because it lowers the cost of hardware  
22 required at the cable headend. To generate digital video data using this third method, the network  
23 manager 214 must prebuild and store in advance a digital video data stream which represents the  
24 background for a custom menu without the customized text (i.e., without the customized  
25 remaining portions of the custom menu). Then, upon receiving a subscriber request for a custom  
26 menu, the network manager 214, in real time, recalls the prebuilt digital video data stream from  
27 memory 262 and adds the necessary digital video data (within that data stream) that represents  
28 the customized text. The network manager 214 can, in effect, insert or interleave the generated  
29 text messages with the pre-built data streams in order to generate a customized menu screen in

1 real time. Figure 10a is a flow diagram for an example of the steps necessary to prebuild an  
2 MPEG data stream for a customized menu. The first step of the process is to prebuild an MPEG  
3 data stream for an I frame for the custom menu omitting only macroblocks (Y, Cb, Cr, 8X8  
4 blocks) representing the customized text data 1000. This first step 1000 can be performed in  
5 various ways. For instance, a still video picture representing the customized menu with the text  
6 removed (or colored blocks inserted where the text should appear) may be processed through an  
7 MPEG encoder to obtain an MPEG data stream. Alternatively, the network manager or some  
8 other CPU can, through processing, build an MPEG data stream which represents a menu without  
9 text. Once this data stream has been obtained, the network manager is ready to properly store the  
10 MPEG data stream (without text video data) for later recall.

11 The next step in this process is to initialize an address variable equal to "1" (see block  
12 1004). Following this initialization, the routine is prepared to iteratively store sections of the  
13 MPEG data stream text. This is shown by storage loop 1028. The next step is for a text counter  
14 "T" to be increased by one 1008. This is followed by the network manager storing a portion (or  
15 section) of the MPEG data stream for the I-frame up to the encountering of omitted text, (which  
16 is the first text in the first instance) 1012. The text counter counts the first or next piece of  
17 MPEG data which has been omitted (because the text macroblock has been omitted) when  
18 prebuilding the MPEG data stream for the I-frame. This portion of MPEG data up to the text is  
19 stored in the address location of the address variable (ADDR). Following the storing of this  
20 portion or section of the MPEG data stream, the address counter is incremented by two 1016.  
21 The purpose for incrementing the address counter by two (instead of incrementing by one) is to  
22 leave an open memory address available for the macroblocks representing the omitted text. In  
23 other words, memory space is left open for storing the text (the memory space will be used later  
24 to insert macroblocks representing the text).

25 Following this incrementing of the address location, the program determines whether it  
26 has exceeded the number of menu text lines on this particular customized menu 1020. If it has  
27 not exceeded the number of menu text lines, then the storage loop 1028 continues with the text  
28 counter being incremented 1008. A section or portion of the MPEG data stream in between the



1 omitted text is stored in the address location which has already been incremented by two 1012.  
2 The addresses are then again incremented by two 1016.

3 Once the number of text lines has been exceeded, then the last portion of MPEG data has  
4 been stored. This last portion represents the MPEG data from the end of the last piece of text on  
5 the menu up to and through the last piece of MPEG data which represents the bottom right-hand  
6 corner of the menu screen. Thus, when the text number exceeds the number of menu text lines  
7 1020, the program stops since the sections of the MPEG data stream which require being stored  
8 in advance are complete 1024.

9 Figure 10b shows the creation or storing of a customized menu MPEG data stream in  
10 real-time in response to subscriber request 1032. After creation or storage, the MPEG data  
11 stream may be spooled to the requesting subscriber. This subroutine is started 1036 by a call  
12 from other subroutines within the network manager system. It begins by setting the address  
13 location (ADDR) equal to the address location (ADDR) plus two 1040. Next, the routine enters  
14 a loop which is repeated by the number of menu text lines 1074. The first item in the loop sets  
15 the text counter equal to the text counter plus one 1044, which increments the text counter.  
16 Block 1048 locates the macroblock in the look-up table for the "T" line of text 1048.  
17 Subsequently, the text macroblock is stored in address location ADDR 1052.

18 The number of menu text lines is checked to see if it is equal to the text counter 1060.  
19 If there are more lines of text remaining, the program continues through its loop 1074. If all the  
20 lines of text have been located and stored, then the program sets the anticipated menu variable  
21 to the anticipated variable plus one 1066 and returns 1070 to the routine in the network manager  
22 that called the subroutine 1032 to build custom menu MPEG data.

23 Locating macroblocks in a look-up table for the "T" line of text 1048 may be done in  
24 several ways. For example, the text may be stored in look-up tables holding MPEG data  
25 representing blocks of MPEG data. These blocks of MPEG data may store MPEG data  
26 representing text by letter, word, program title, line of text, block of text or other means. There  
27 are numerous ways in which these look-up tables may be built in advance to prestore data. The  
28 network management CPU may look up each piece of text (or text data) and place them together

1 in order to form the T line of text. A loop can be established within block 1048 to repeatedly  
2 look up or locate data such as letters or words one at a time.

3 Figure 10c shows one way in which the network manager may proceed in customizing  
4 menus upon request. First, the network manager receives a request for a custom menu 1078 from  
5 a subscriber set top terminal. After receipt, the network manager determines whether the custom  
6 menu has been anticipated and therefore has already been built and placed in storage 1086.  
7 Various steps and procedures can be used to determine whether a menu has been properly  
8 anticipated (such as a flag technique). If the anticipation flag variable is greater than a preset  
9 number (for example, one), then the custom menu has been anticipated. If the custom menu has  
10 not been anticipated, then the program proceeds to a routine which calls the Build Custom Menu  
11 MPEG Data subroutine 1090 and loops back 1082 to the determination block of whether or not  
12 the custom menu has been anticipated 1086.

13 If the custom menu has been properly anticipated and is already stored and ready to be  
14 sent to the subscriber, the appropriate starting address for the anticipated custom menu I-frame  
15 is sent to the file server 1094. Following this, the anticipation flag is appropriately decremented  
16 by one or some other method which will allow the system to know whether the next custom  
17 menu has been anticipated or not for this subscriber request.

18 Following the sending of the menu and decrementing of the anticipation flag, the routine  
19 enters a loop 1018 which attempts to anticipate and build additional anticipated custom menus.  
20 To anticipate the next custom menu, many new custom menus may need to be created. First, the  
21 program must determine whether it is possible to anticipate another custom menu I-frame for the  
22 subscriber 1102. It may be possible for the processor to do this by analyzing the anticipation flag  
23 or other method. If it is not possible to anticipate another custom menu for this subscriber, then  
24 the routine stops 1106. However, if it is possible to anticipate additional custom menus for the  
25 subscriber, then the routine sets the parameters, including text parameters, for the next custom  
26 menu 1110 and proceeds to call the Build Custom Menu Data subroutine using call 1090. Once  
27 another custom menu MPEG data stream has been created, the program loops back 1018 to  
28 deciding whether it is possible to anticipate another custom menu 1102. This looping process  
29 continues 1018 until the system is not able to anticipate any further custom menus 1106.

1           Figures 10a, 10b and 10c show an example of how custom menus may be anticipated by  
2 the system, as many as 10 or 20 menus in advance, if necessary. Various methods of tracking  
3 whether custom menus have been anticipated may be used (such as various flag or counter  
4 techniques) with the custom menu system. Also, Figures 10a, 10b and 10c show how custom  
5 menus can be prebuilt with MPEG data streams for I-frames with all but the text being omitted.  
6 Then, in real-time, the data for the text can be added, inserted or interleaved within the MPEG  
7 data stream. The text may be added using various prebuilt look-up tables such as letter, word,  
8 program title, line of text, or block of text, etc. The MPEG data streams may be stored at the  
9 network manager or in the file server. Viewing these figures, those skilled in the art will  
10 recognize many possible variations for custom building of menus using digital data.

11           In addition to video and text, customized voice and sound may be sent to subscribers. To  
12 accommodate this feature, the network manager can use various known voice or sound  
13 generating techniques. The network manager creates these audio signals and places them in the  
14 analog or digital audio portion of the signal that is sent to the subscriber. In this way, the network  
15 manager can "talk" to the subscriber by name and read a custom menu to the subscriber.

16           The custom menu feature can be combined with the targeting advertisement, interactive  
17 programs, and other capabilities to highly personalize screens and audio delivered to a particular  
18 subscriber.

## 19           5.       Interactive Programs

20           The network manager 214 can coordinate, process and manage all subscriber  
21 communications received during an interactive program. As the subscriber is viewing an  
22 interactive program, the subscriber will be presented with a number of questions during the  
23 interactive program in which an answer from the subscriber 292 is requested. (The question  
24 posed to the subscriber 292 during the interactive program may be generated and sent to the  
25 subscriber 292 using any of the spooling data methods, menu methods and/or text overlaying  
26 techniques described.) After a question has been posed to the subscriber 292, the subscriber 292  
27 may input an answer to the question, which will be sent to the network manager 214 in the form  
28 of upstream data 246 from the subscriber 292. The network manager 214 may receive the  
29 subscriber's answer to the question either directly through its network manager receiver 254 or

1 by monitoring subscriber 292 communications received by the authorization component 236.  
 2 Once the network manager 214 has received the subscriber's communication and processed the  
 3 communication to determine the appropriate response to the subscriber's answer, the network  
 4 management CPU 260 will prompt or instruct the file server 215 to select and spool the  
 5 appropriate MPEG data stream in order to send the response to the subscriber 292 in real-time.

6 In order to accomplish this function, the network manager 214, using the network  
 7 manager control software 264, makes use of a look-up table that prestores all possible subscriber  
 8 answers to interactive questions posed during an interactive program. In this way, the Interactive  
 9 Program routine 305 is called by the program request routine 293 so that the request may be  
 10 processed. The Interactive Program routine 305 uses the look-up table to anticipate responses  
 11 to the subscriber's answers.

12 Figure 11 illustrates a sample subscriber answer look-up table that may be used by the  
 13 network manager 214 to perform this function. As shown in the figure, the left-most column  
 14 indicates the number of the interactive program. The top row corresponds to the number of the  
 15 question posed during a given interactive program. This table forms a matrix in which a specific  
 16 program number (designated by row) and an interactive question (designated by columns) can  
 17 be used to store a set of memory addresses corresponding to responses to the subscriber's answer  
 18 to that question posed during that program. In the example shown in Figure 11, eight separate  
 19 interactive programs and ten questions during each program are shown. For each program  
 20 number and corresponding question number, a set of two, three, four, or more responses  
 21 (designated as A, B, C and D) can be identified for a particular question asked during a particular  
 22 program.

23 In order to generate a response to the subscriber's answers in real-time and interactively,  
 24 the network manager 214 processes and interprets the subscriber's answer by identifying the  
 25 program number, the number of the question posed, and the subscriber's answer. Using this  
 26 information, the network manager 214 identifies the corresponding prestored response to the  
 27 subscriber's answer. Usually, the response is simply a memory address from which video or a  
 28 menu may be spooled. Other types of more complex responses are also possible with this  
 29 system.

1 Subsequently, the network manager 214 prompts or instructs the file server 215 to select  
2 and spool the corresponding response to the subscriber's answer. In so doing, the network  
3 manager 214 and the file server 215 can work together to select and spool the proper interactive  
4 response to the subscriber's answer and send the appropriate video or menu response to the  
5 subscriber 292 that has answered the interactive question. Although a look-up table is disclosed  
6 which prestores responses to subscriber answers, many other algorithms effectuating a response  
7 may be used with the network manager hardware.

## 8 6. NVOD

9 Near video on demand (NVOD) is a program delivery technique which makes use of a  
10 program displayed on multiple program channels where the program has staggered start times  
11 over the multiple channels. By staggering the start times of the program across multiple  
12 channels, a subscriber 292 may be presented with a menu that displays a number of programs  
13 which are available for NVOD selection. Once the subscriber 292 selects a particular program,  
14 the request is transmitted upstream 246 either directly to the network manager 214 or indirectly  
15 to the network manager 214 through the authorization component 236. In either event, the  
16 network manager 214 must determine the next available and nearest start time of the program to  
17 be displayed in order to accommodate the subscriber's 292 request.

18 The subscriber's 292 request is processed and the network management CPU 260 prompts  
19 or instructs the file server 215 to select and spool the appropriate data that can be sent to the set  
20 top terminal 220 in order for the set top terminal 220 to tune or switch to the proper channel  
21 displaying the program with the nearest start time. Thus, during processing, the network manager  
22 214 generally: determines the channel with the next available staggered start time; compiles all  
23 requests that request the same program; and provides the determined channel and the compiled  
24 program requests to the file server 215 to locate the appropriate data to be sent to the subscribers.  
25 Various program preview techniques and menus may be used in an NVOD system.

## 26 7. VOD and VVOD

27 The network manager supports both VOD and VVOD at the cable headend. These are  
28 described in detail with reference to Figures 6a, 6b and 6c. VOD provides programs to the  
29 subscribers nearly instantaneously. VVOD gathers subscriber requests within minutes and

1 efficiently allocates the subscriber requests (unknownst to the subscriber) to a specific channel,  
2 while the subscribers view a preview. The specific channel refers generically to a "channel" that  
3 may be either a program signal tuned to a specific 6 Mhz bandwidth or a "virtual channel" (which  
4 does not involve assignment of data or a signal to a particular segment of bandwidth).

5 In addition, the network manager supports operations center supplied VOD programs.  
6 Thus, when the file server does not contain the program requested, the network manager can  
7 request the program from the operations center. In response, the operations center (file server)  
8 spools the program requested by the subscriber. Then the network manager "relays" the program  
9 to the subscriber. To avoid delays to the requesting subscriber, the cable headend file server may  
10 store (in advance) and spool when needed the first few minutes of operations center supplied  
11 VOD programs. In this manner, the subscriber immediately receives the requested program and  
12 realizes no delay during the transaction between the network manager and the operations center.  
13 When the network manager receives the VOD program from the operations center, it seamlessly  
14 spools it out to the subscriber.

15 For a more detailed description of operations center VOD, see U.S. Ser. No. 08/352,204,  
16 filed December 2, 1994, entitled AN OPERATIONS CENTER WITH VIDEO STORAGE FOR  
17 A TELEVISION PROGRAM PACKAGING AND DELIVERY SYSTEM, now U.S. Patent No.  
18 5,600,573, and incorporated herein by reference.

#### 19 8. Program Suggestion

20 Using the standard and custom menu systems described above, the network manager can  
21 provide the subscriber with the program suggestion feature. This is accomplished using a  
22 sequence of menus from one of the menu systems in combination with the network manager CPU  
23 and associated instruction memory and databases. When requested, menus for the program  
24 suggestion feature are sent to the subscriber's set top. With this feature, programs or actors are  
25 suggested to a subscriber based upon historical data of the subscriber's previous programs  
26 watched, demographics or mood of the subscriber, other indicators, and/or by text word searches.

27 In the preferred program suggestion embodiment, text word searches of program preview  
28 information (such as program abstracts (descriptions), critics reviews and biographies about the  
29 actors) and/or program titles are performed by the network manager using databases in the

1 network manager. Generally, personalized program or actor suggestions are made to the  
2 subscriber by obtaining information from the subscriber indicative of general subscriber interests.  
3 Subscriber entries are solicited from the subscriber preferably using program suggestion menus  
4 and submenus. The network manager uses these subscriber entries either directly or indirectly  
5 to search for programs or actors to suggest to the subscriber.

6 For the most part, the program suggestion methods may be categorized into two  
7 categories, either responsive methods (which respond to a series of subscriber menu entries), or  
8 intelligent methods (which analyze data to suggest a program). Using a responsive or intelligent  
9 method, the network manager determines a list of suggested titles or actors and creates a second  
10 or third menu (or submenu) to suggest the program titles for subscriber selection. Although  
11 standard menus may be generated in advance for many program suggestion menus, some  
12 customized menus are preferred in responding to specific subscriber requests or entries.

13 Responsive methods of suggesting program titles include, for example, the use of mood  
14 questions, searching for actors, and keyword searching. Using the instruction memory and menu  
15 generation hardware (such as CPU, file server, and databases) of the network manager, a series  
16 of mood questions can be presented on menus to determine a subscribers interest at a particular  
17 time. For this methodology, the operations center assigns each program title mood indicators  
18 (and subindicators) from a group such as light, serious, violent, short, long, dull, exciting,  
19 complex, easy-read, young theme, old theme, adventure, romance, drama, fiction, science-fiction,  
20 etc. These indicators are received from the operations center in the program control information  
21 signal. The indicators are displayed at the appropriate time on a menu to the subscriber. Based  
22 upon the subscriber menu entries, the network management CPU associates a set of indicators  
23 with the subscriber's request and a set of programs with matching assigned indicators are located  
24 for suggesting to the subscriber.

25 Responsive searches for actors or keywords (a search word provided by the subscriber)  
26 are generally performed by the network management CPU and instruction memory on data stored  
27 in the network manager's database. For example, a keyword given by the subscriber may be  
28 searched for a match in the database which stores the program reviews, critiques and program  
29 abstracts (descriptions). Thus, if a subscriber provided an entry of the word "submarine" on an

1 appropriate standard or custom submenu, the title "Hunt For Red October" may be located by the  
2 network management CPU 260 using instructions from the Program Suggestion routine and/or  
3 instructions stored in the network manager instruction memory.

4 Intelligent methods of suggesting programs include analyzing personal profile data on the  
5 subscriber and/or historical data about the subscriber such as past programs watched or ordered  
6 by the subscriber (or buy data). This intelligent method is preferred in a VOD or VVOD system  
7 which easily stores programs watched or buy data in network manager database. The  
8 subscriber's set top terminal receives the menu (or menu data) including program suggestion  
9 information from the network manager (and if necessary generates the program suggestion menu  
10 or submenu as described above) and displays the menu. Software routines and algorithms stored  
11 in the network manager instruction memory are used to analyze historical data about the  
12 subscriber and program watched data to determine a line of programs to suggest to the subscriber.  
13 For example, if the subscriber usually watches half hour sitcoms about women, a menu listing  
14 the show "Designing Women" might be sent by the network manager to the subscriber's set top  
15 terminal.

16 The algorithms for this powerful feature of suggesting programs or actors to subscribers  
17 are disclosed in great detail in a co-pending application by the same inventor, U.S. Application  
18 Serial No. 08/160,281, filed December 2, 1993, and PCT/US93/11708 entitled  
19 REPROGRAMMABLE TERMINAL FOR SUGGESTING PROGRAMS OFFERED ON A  
20 TELEVISION PROGRAM DELIVERY SYSTEM, now U.S. Patent No. 5,798,785, which is  
21 incorporated herein by reference.

22 The terms and descriptions used herein are set forth by way of illustration only and are  
23 not meant as limitations. Those skilled in the art will recognize that numerous variations are  
24 possible within the spirit and scope of the invention as defined in the following claims.  
25  
26  
27  
28  
29



## CLAIMS

What is claimed is:

1. An apparatus for video on demand programs comprising:
  - a receiver to receive requests for video on demand programs;
  - a network manager, connected to said receiver, to process said program requests; and
  - an authorization component, connected to said network manager, to transmit a first authorization code;
  - a file server, coupled to said network manager, receives said first authorization code, wherein said file server spools said requested program or downloads a second authorization code.
2. The apparatus of claim 1, wherein said network manager comprises a processor, connected to an instruction memory, to process said program requests.
3. The apparatus of claim 2, wherein said processor comprises control software to compile, group or count said program requests.
4. The apparatus of claim 3, wherein said file server comprises process software to deliver or authorize to receive at least one requested program.
5. The apparatus of claim 1, wherein said file server comprises process software to deliver or authorize to receive at least one requested program.
6. The apparatus of claim 1, wherein said requested program is stored in the file server in MPEG format.
7. The apparatus of claim 1, wherein said requests for video on demand programs are received from set top terminals.

1           8.     The apparatus of claim 1, wherein said authorization component  
2 is connected to said network manager by an interface.

3  
4           9.     An apparatus for delivering video on demand programs to set top terminals  
5 comprising:

6           (a) a receiver to receive requests for video on demand programs from the set top  
7 terminals;

8           (b) a network manager, connected to said receiver, comprising:

9                 a processor to process said program requests, wherein said processor includes an  
10 instruction memory and said processor comprises control software to compile, group or  
11 count said program requests;

12           (c) an authorization component, connected to said network manager by an interface, to  
13 transmit a first authorization code; and

14           (d) a file server, connected to said network manager, to receive said first authorization  
15 code, wherein said file server spools said requested program or downloads a second authorization  
16 code.

17  
18           10.    An apparatus for video on demand programs comprising:

19                 an authorization component to receive requests for video on demand programs;

20                 an interface connected to said authorization component;

21                 a network manager, connected to said interface, to process said program requests; and

22                 a file server, connected to said authorization component, to receive a first authorization  
23 code, and to spool said requested program or to download a second authorization code.

24  
25           11.    The apparatus of claim 10, wherein said network manager  
26 comprises:

27                 a processor, connected to an instruction memory, to process said program requests.  
28

1           12.    The apparatus of claim 11, wherein said processor comprises control software to  
2 compile, group or count said program requests.

3  
4           13.    The apparatus of claim 10, wherein said requests for video on demand programs  
5 are received from set top terminals.

6  
7           14.    The apparatus of claim 10, wherein said file server comprises process software  
8 to deliver or authorize to receive at least one requested program.

9  
10          15.    The apparatus of claim 11, wherein said file server comprises process software  
11 to deliver or authorize to receive at least one requested program.

12  
13          16.    The apparatus of claim 10, wherein said requested program is  
14 stored in the file server in MPEG format.

15  
16          17.    An apparatus for delivering video on demand programs to set top terminals  
17 comprising:

18               an authorization component to receive requests for video on demand programs from the  
19 set top terminals;

20               an interface connected to said authorization component;

21               a network manager, connected to said interface, comprising:

22                     a processor to process said program requests, wherein said processor includes an  
23 instruction memory, and said processor comprises control software to compile, group or count  
24 said program requests; and

25               a file server, connected to said authorization component, to receive a first authorization  
26 code and to spool said requested program or download a second authorization code.

27  
28          18.    The apparatus of claim 17, wherein said requested program is digital.

1           19.    The apparatus of claim 17, wherein said requested program is stored in the file  
2 server in MPEG code.

3  
4           20.    A method for delivering video on demand programming comprising the steps of:  
5 a) receiving a request for a video on demand program from a set top terminal;  
6 b) processing at least one received request;  
7 c) sending a first authorization code to a file server;  
8 d) spooling said requested program from said file server; and  
9 e) authorizing viewing or delivering of said requested program.

10  
11           21.    The method of claim 20 wherein the step of processing further comprising:  
12 a) counting a period of time;  
13 b) receiving additional requests until said period of time expires; and  
14 c) authorizing viewing or delivering of said requested program after said period of time  
15 expires.

16  
17           22.    The method of claim 20, wherein said step of authorizing further comprising  
18 downloading an authorization code.

19  
20           23.    The method of claim 20, wherein said step of authorizing further comprising  
21 authorizing viewing of previews.

22  
23           24.    The method of claim 21, further comprising the step of:  
24 a) compiling, grouping or counting all said program requests.

- 1
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- 7

## **ABSTRACT**

A novel network manager for use with a cable television system headend capable of monitoring and managing headend components and set top terminals in a television delivery system. The invention relates to methods and apparatus that manage and coordinate the reception of requested video on demand programs. The invention manages and coordinates the storage of the requested video on demand programs for intelligent selection and distribution to set top terminals.

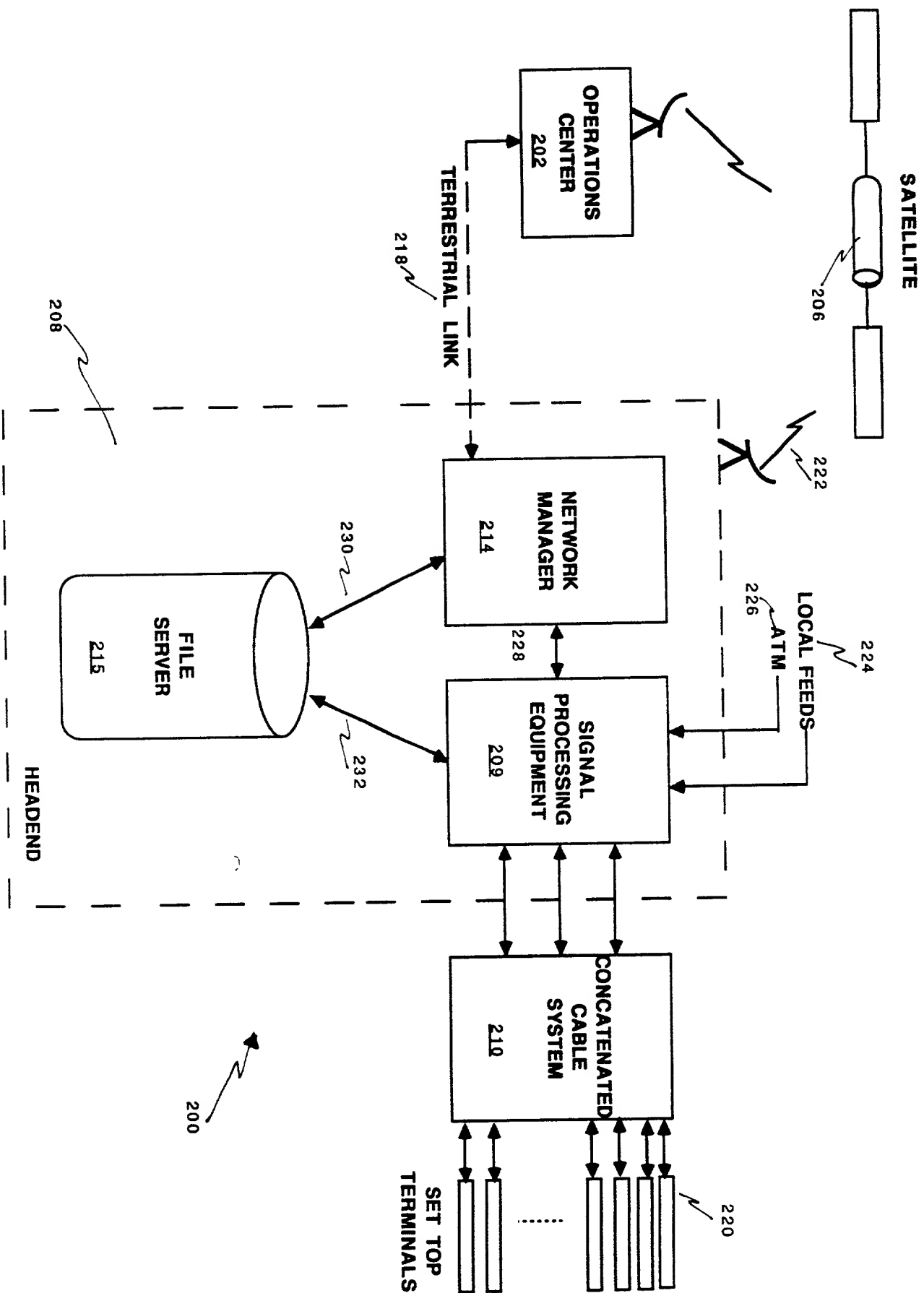
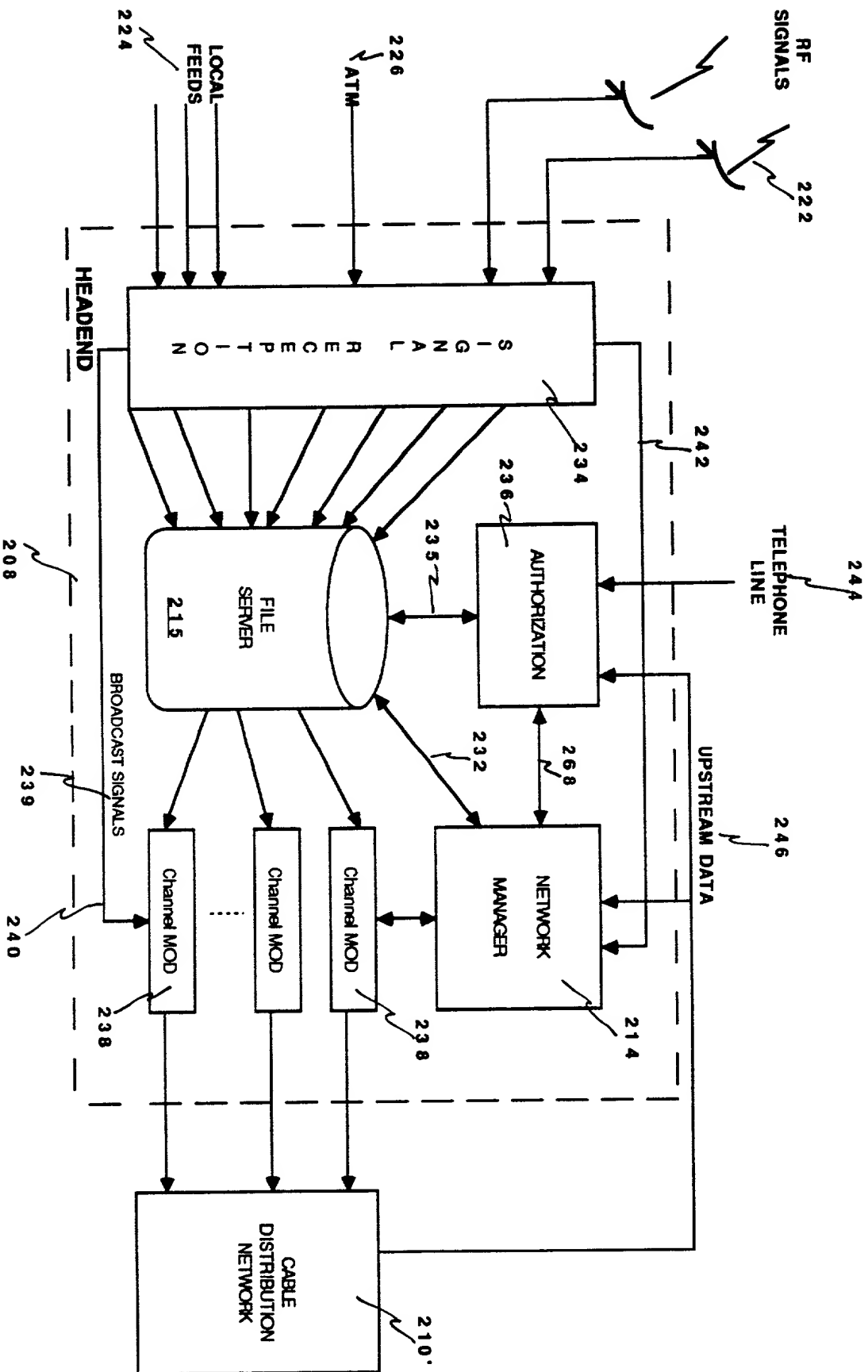
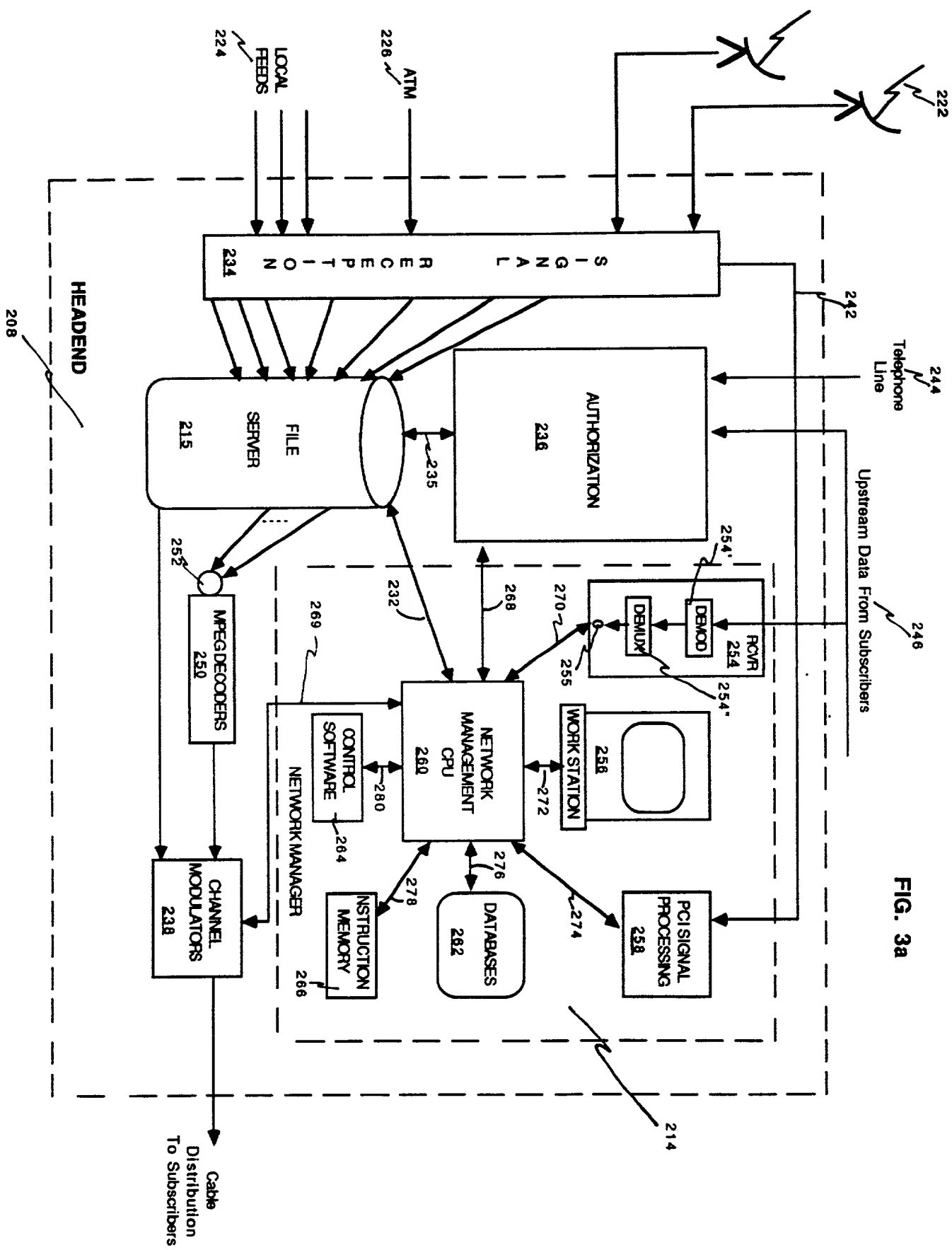


FIG. 1



**FIG. 2**





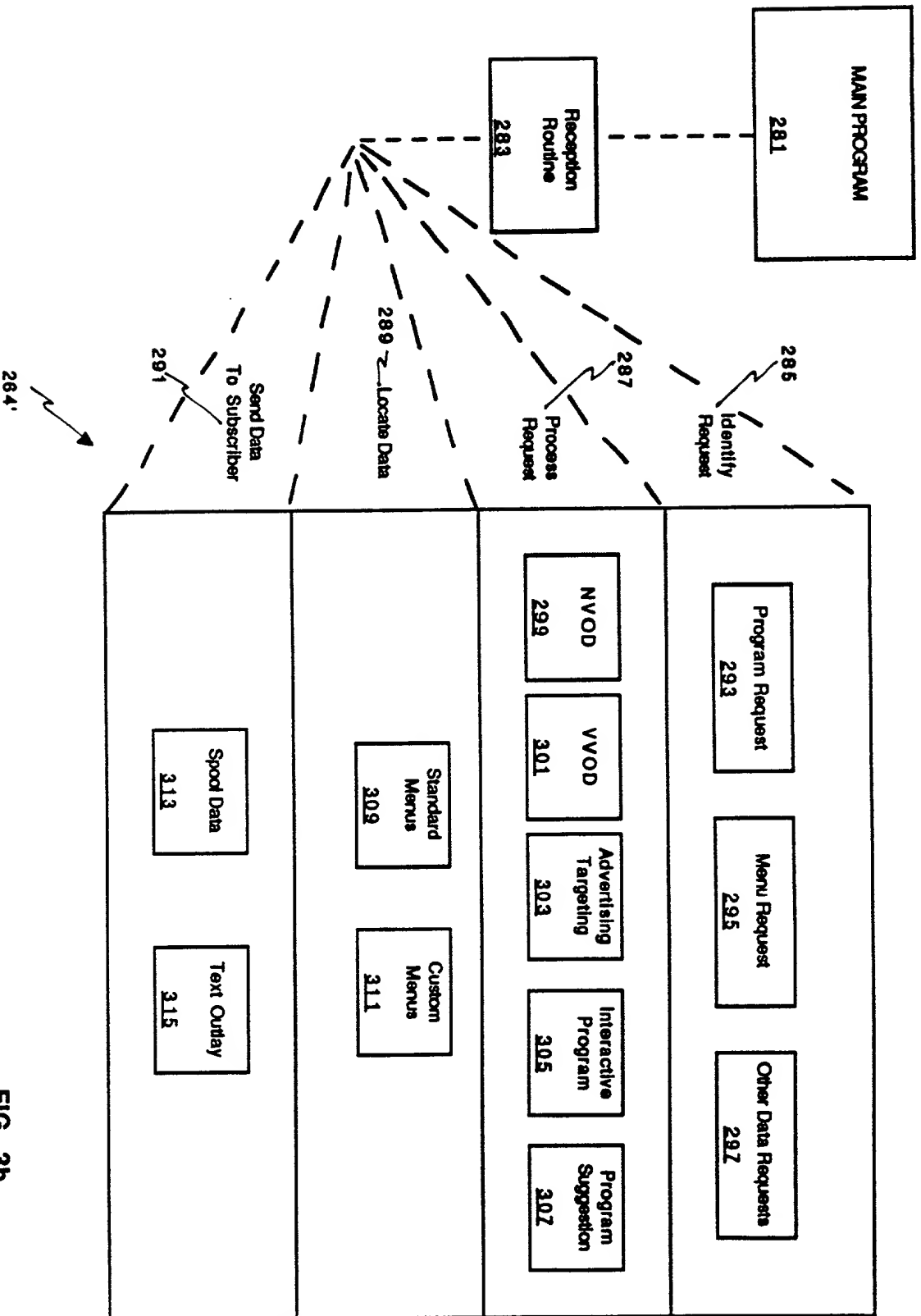


FIG. 3b

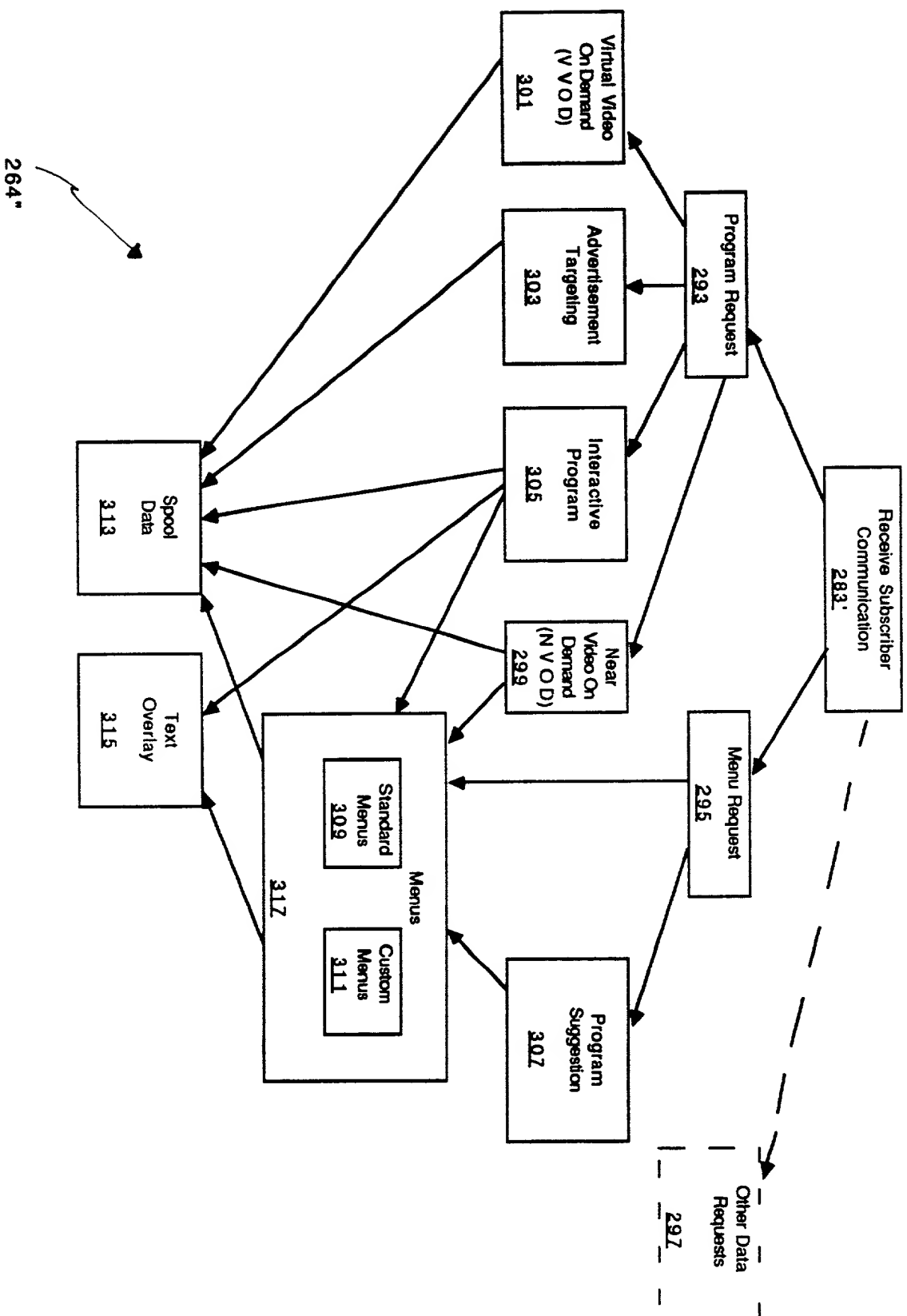


FIG. 3c



**FIG. 4**

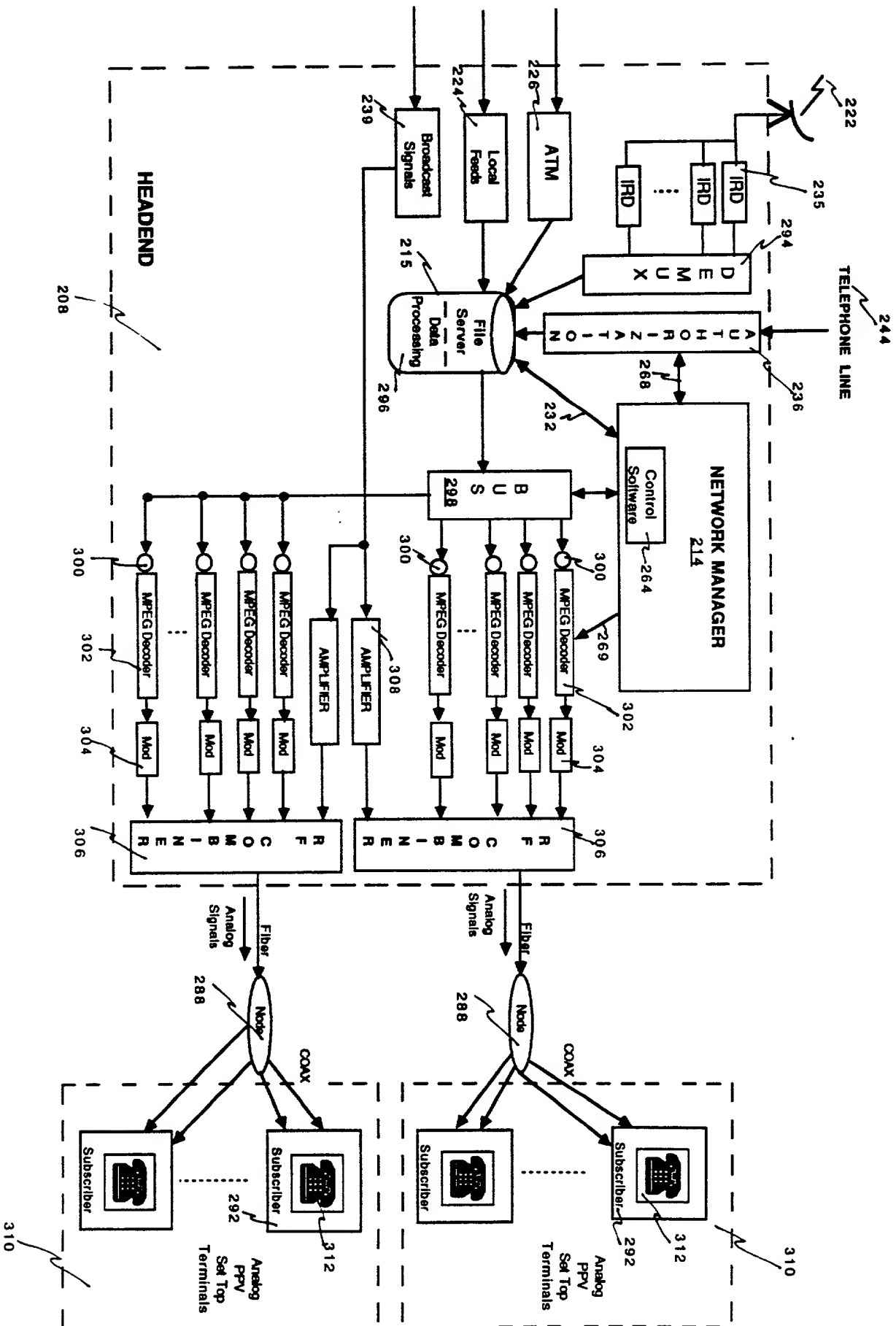


FIG. 5

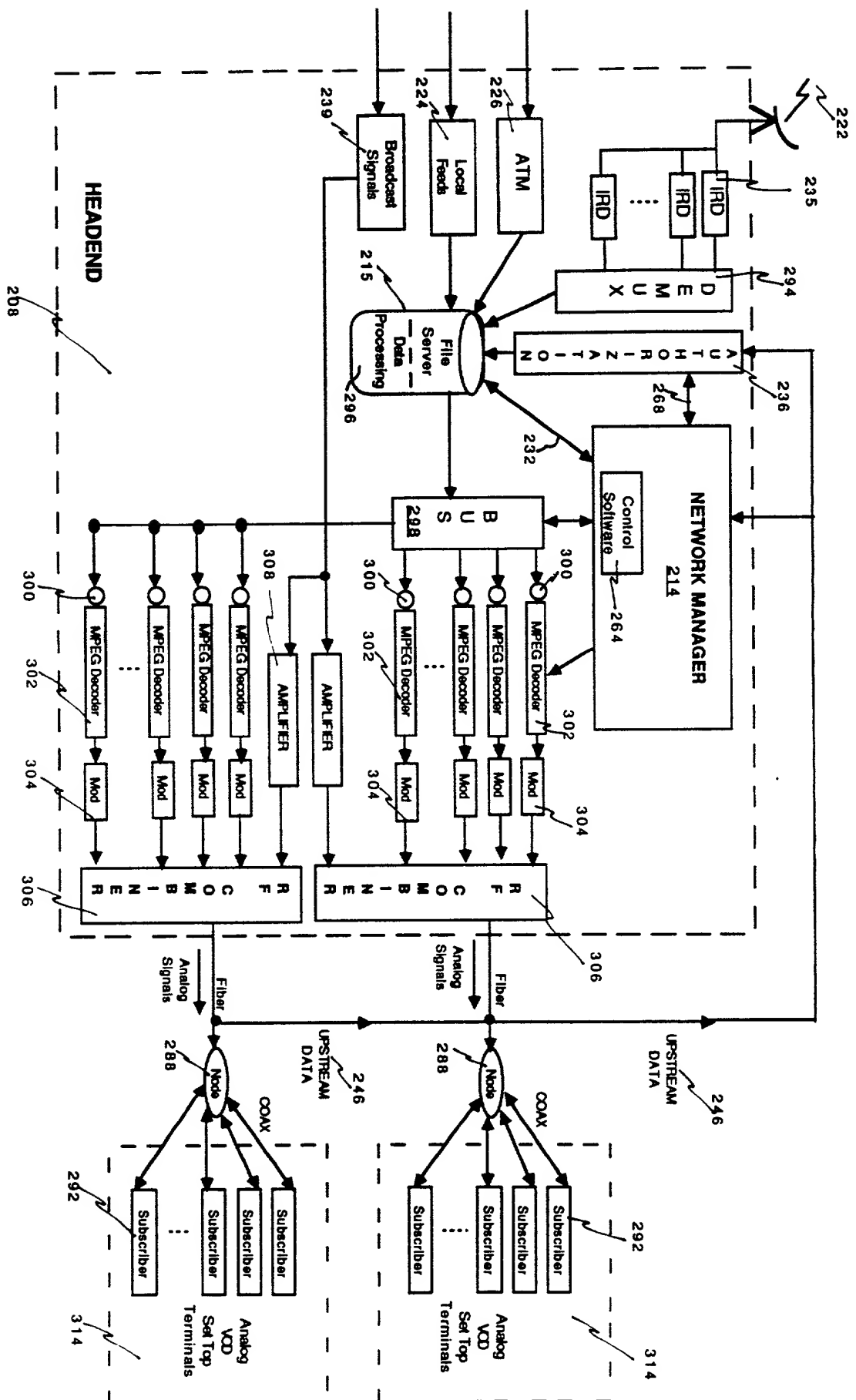


FIG. 6a

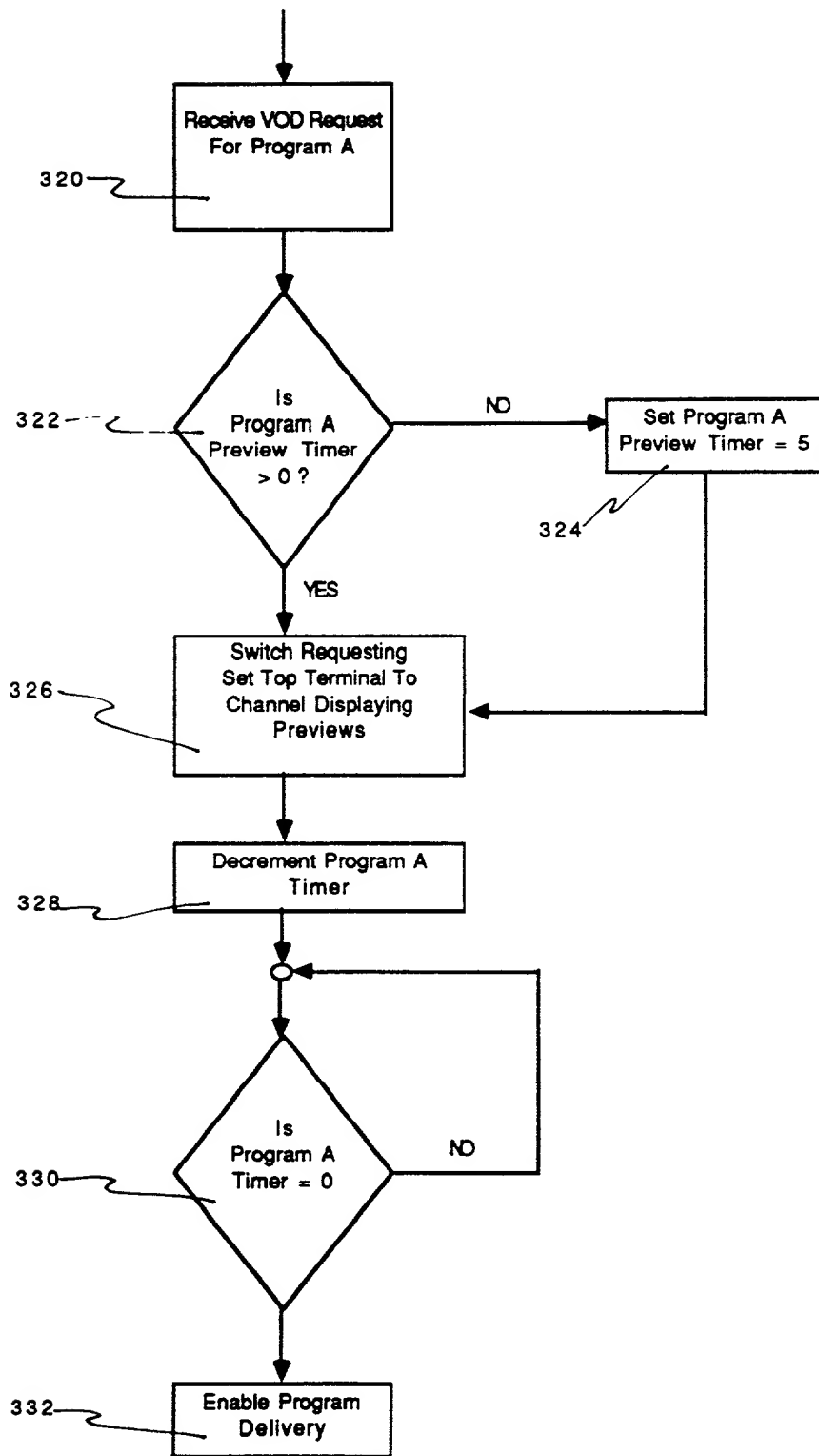


FIG. 6b

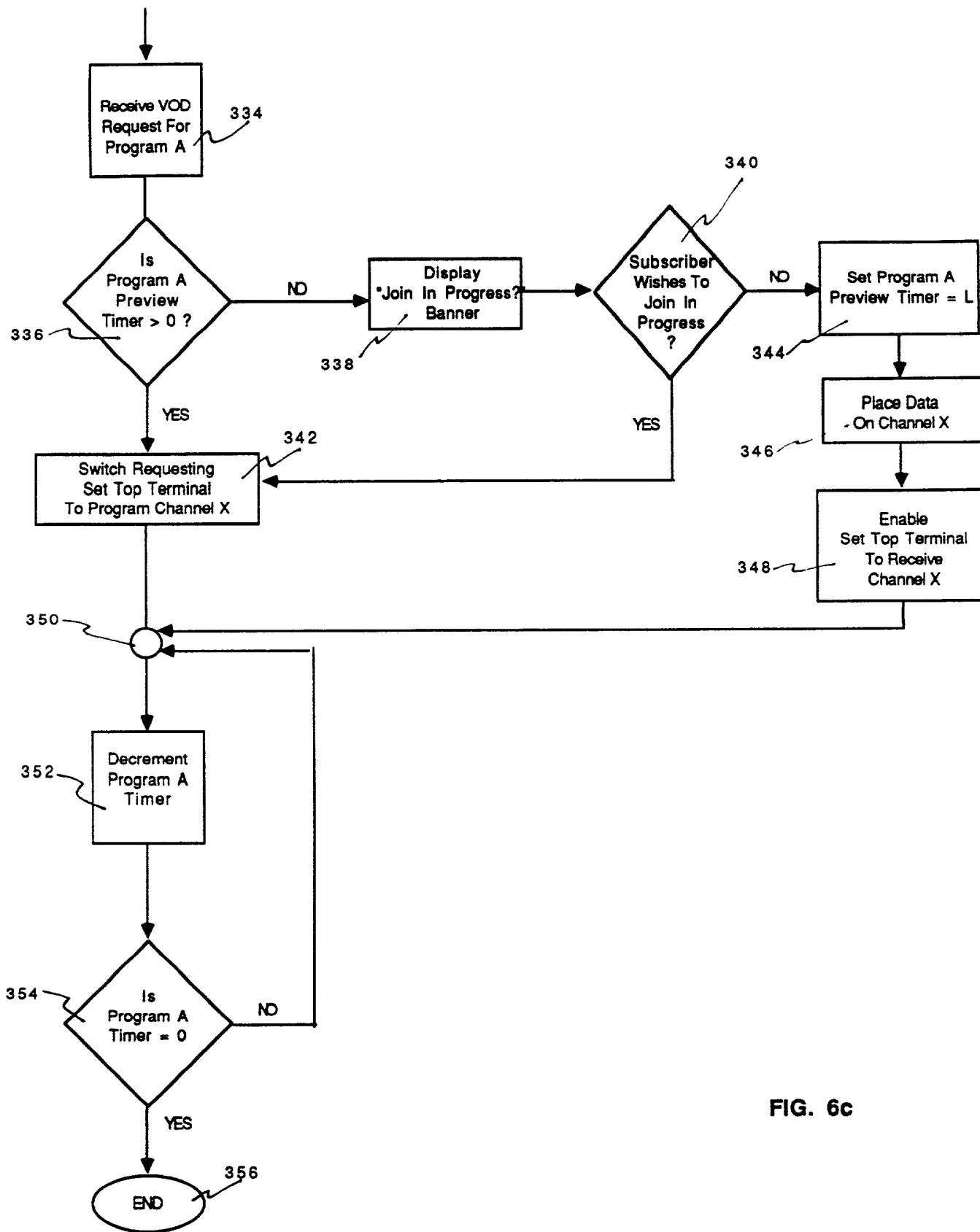


FIG. 6c

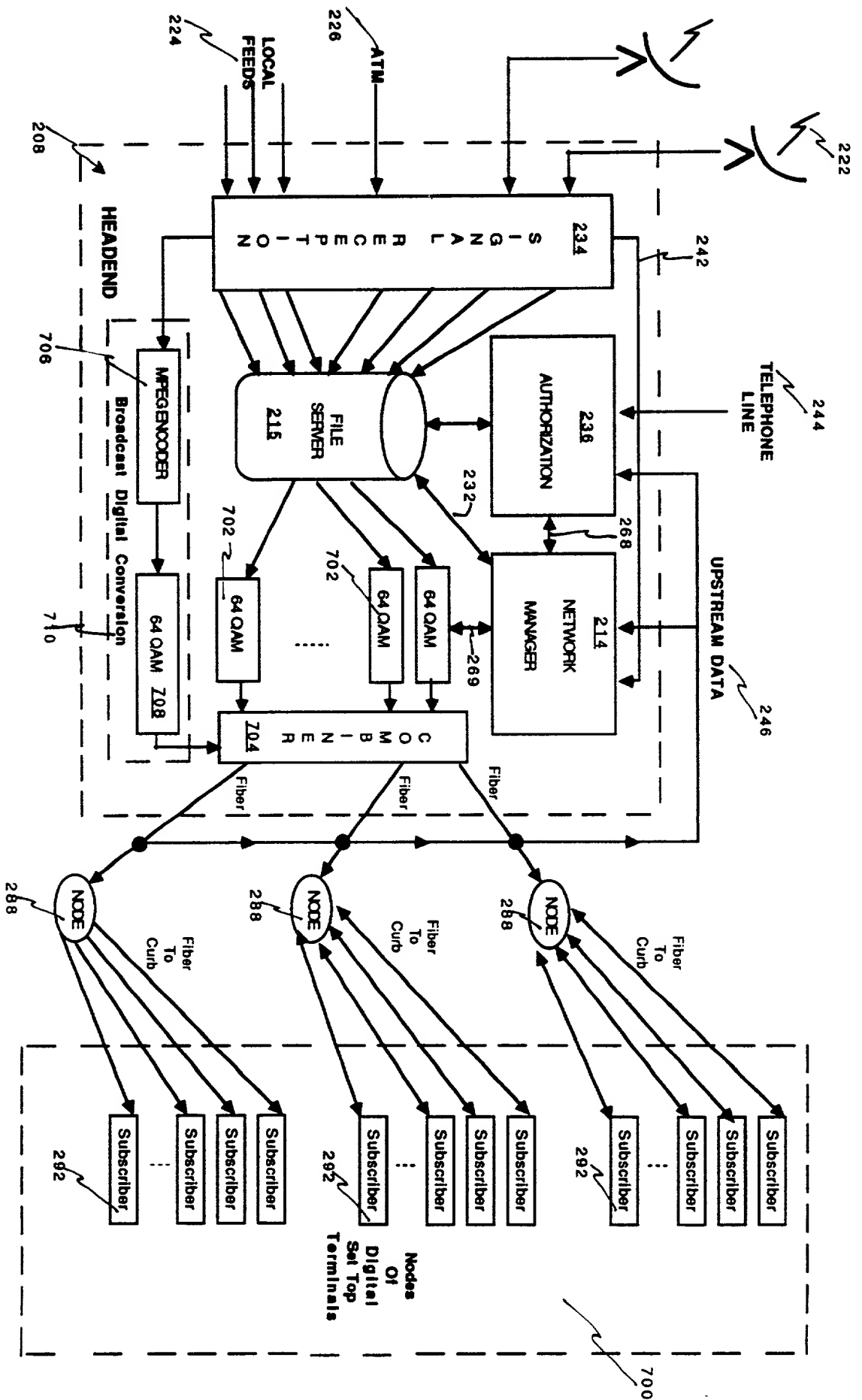


FIG. 7



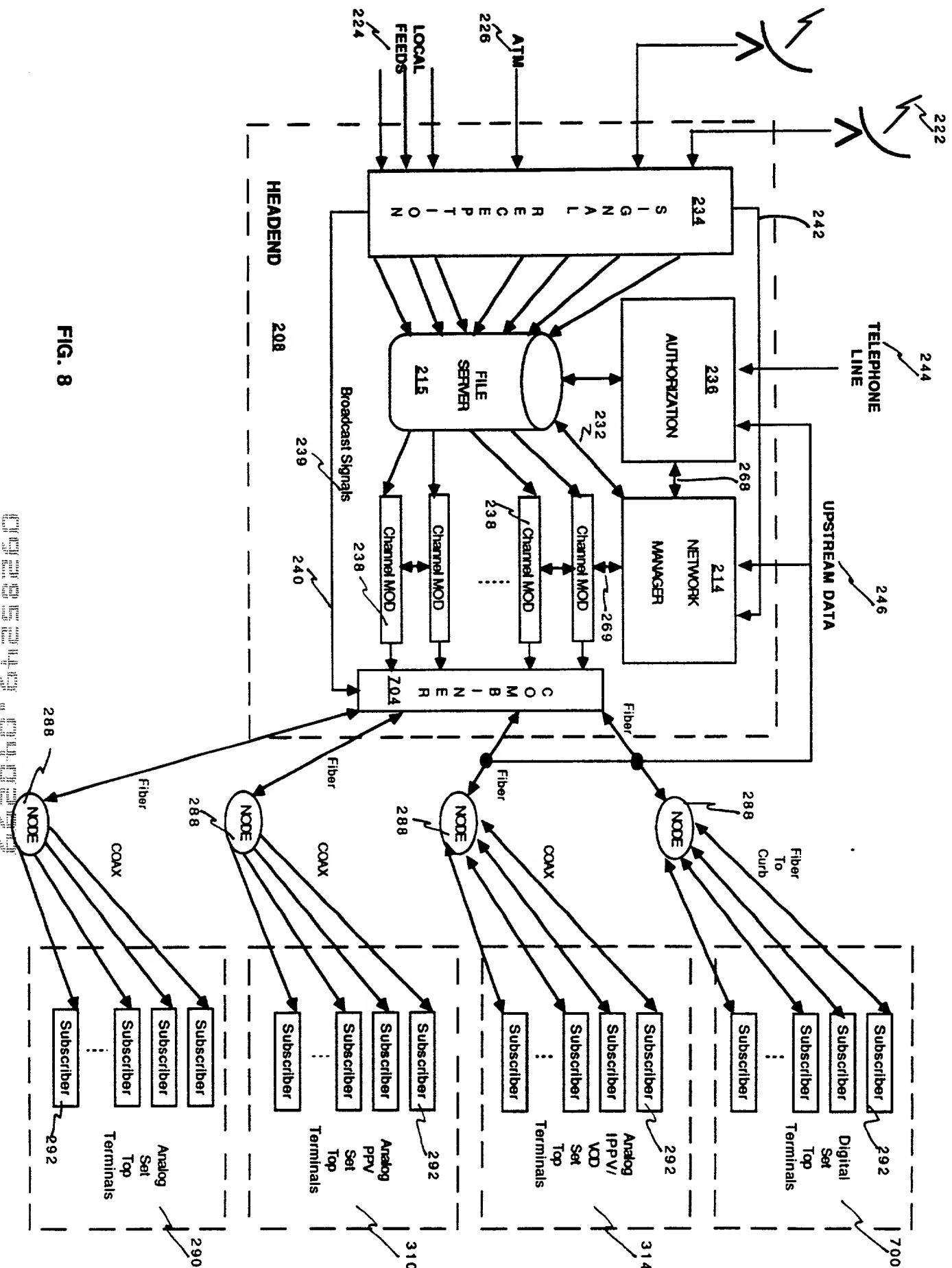


FIG. 8

FIG. 8 is a block diagram of a cable network system.

# MONTHLY PROGRAMS WATCHED MATRIX

## PROGRAM CATEGORIES

TIME SLOT	SPORTS NEWS MOVIES CHILDRENENTERTAINMENT				
	SPORTS	NEWS	MOVIES	CHILDREN	ENTERTAINMENT
0000-0400	0	2	2	0	0
	0	2	0	3	0
0400-0800	0	2	0	3	0
	0	2	0	3	0
0800-1200	2	0	10	2	6
	2	0	10	2	6
1200-1600	20	1	3	5	4
	20	1	3	5	4
1600-2200	8	6	13	0	5
	8	6	13	0	5
2000-2400	0	10	2	4	2
	0	10	2	4	2

FIG. 9

PREBUILD MPEG DATA FOR I FRAME FOR  
CUSTOM MENU OMITTING MACROBLOCKS  
(Y, CB, CR, 8 X 8 BLOCKS)  
REPRESENTING TEXT DATA

ADDR = 1 1004

$T = T + 1$  1008

1012

STORE PORTION OF MPEG DATA STREAM  
FOR I FRAME UP TO "T" OMITTED  
(TEXT) MACROBLOCK IN  
ADDRESS LOCATION "ADDR"

ADDR = ADDR + 2

```

graph TD
    A[IS  
T > NUMBER OF  
MENU TEXT  
LINES] --> B[1020]
    
```

```

graph TD
    1024((1024)) --> STOP([STOP])
    STOP -.-> WavyLine[~~~~~]
  
```

**FIG. 10a**

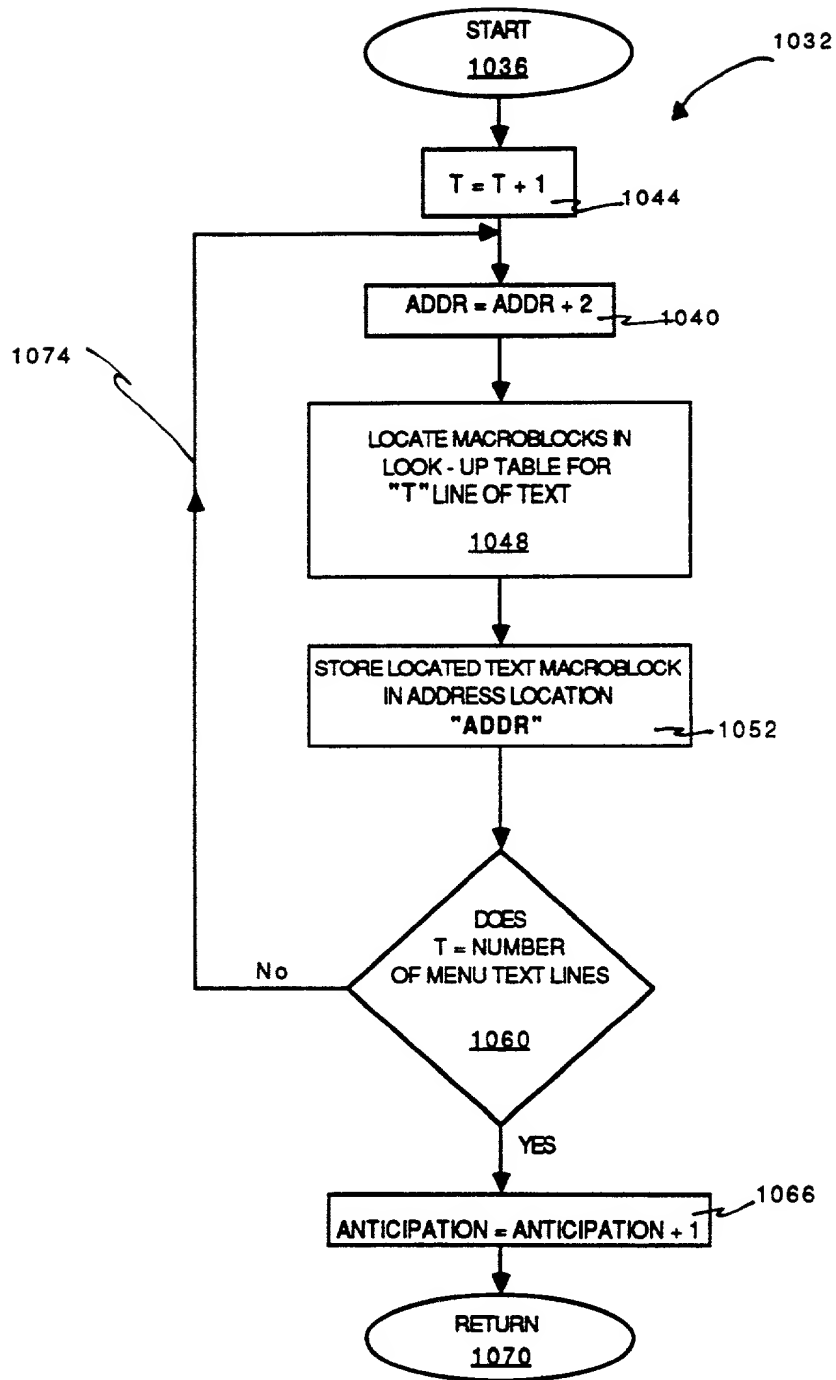


FIG. 10b

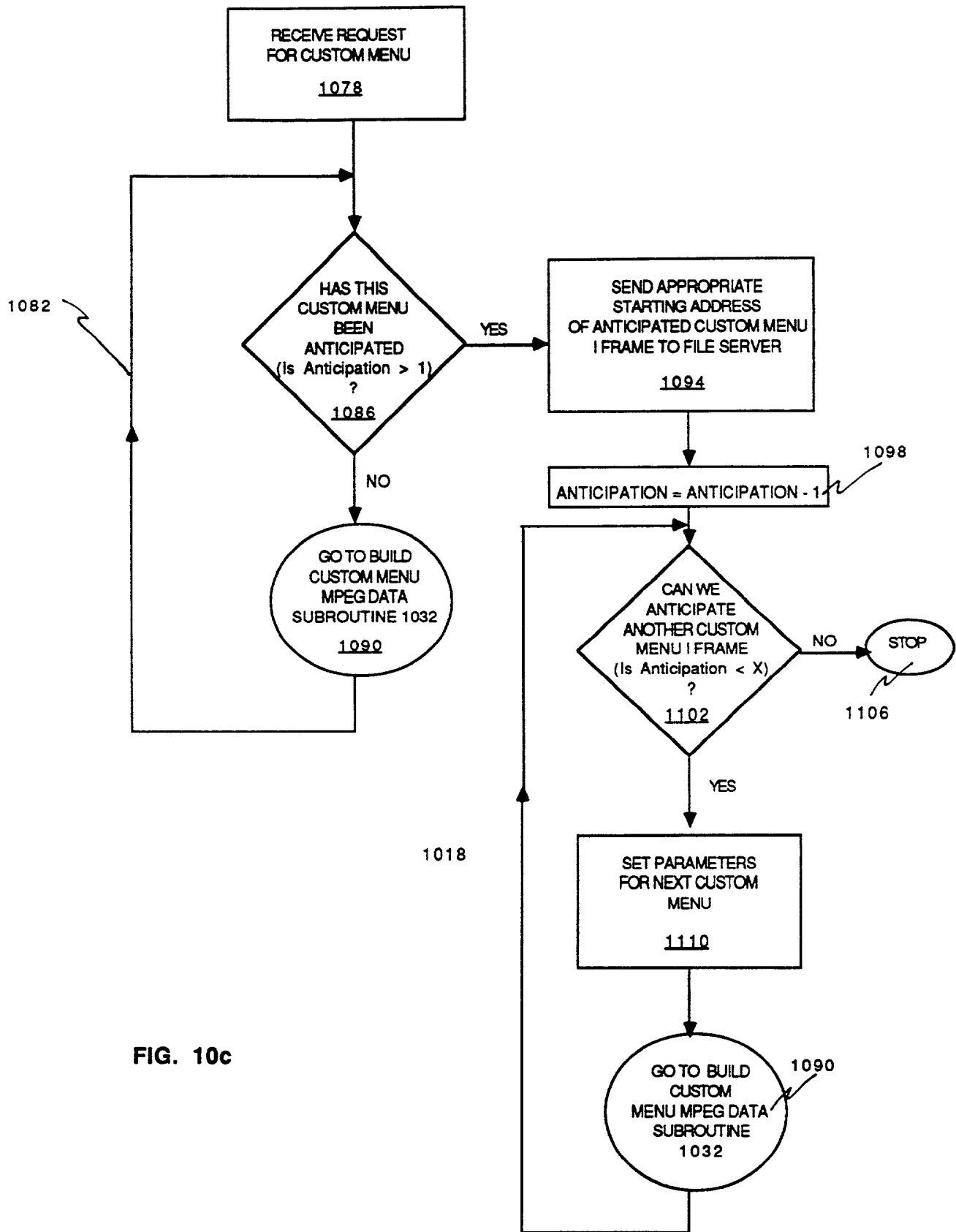


FIG. 10c

Interactive Question Program Number	I	II	III	IV	V	VI	VII	VIII	IX	X
Program No. 1	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$
Program No. 2	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A B C$
Program No. 3	$A/B$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A/B$
Program No. 4	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$
Program No. 5	$\frac{A B}{C D}$	$A B C$	$A/B$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A B C$
Program No. 6	$A B C$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$
Program No. 7	$A/B$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$
Program No. 8	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A B C$	$\frac{A B}{C D}$	$A/B$	$\frac{A B}{C D}$	$\frac{A B}{C D}$

FIG. 11

**DECLARATION FOR PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to my name.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a utility patent is sought on the invention entitled NETWORK MANAGER FOR CABLE TELEVISION SYSTEM HEADENDS, the specification of which was filed on December 2, 1994, as Application Serial No. 08/352,205, which is a continuation-in-part application under 35 U.S.C. § 120 of applications Serial Number 08/160,280, filed December 2, 1993, entitled NETWORK CONTROLLER FOR CABLE TELEVISION DELIVERY SYSTEM and U.S. Ser. No. 08/160,281, entitled REPROGRAMMABLE TERMINAL FOR SUGGESTING PROGRAMS OFFERED ON A TELEVISION PROGRAM DELIVERY SYSTEM, filed December 2, 1993, which themselves are a continuation-in-part applications under 35 U.S.C. § 120 of Serial Number 07/991,074, filed December 9, 1992, entitled TELEVISION PROGRAM PACKAGING AND DELIVERY SYSTEM WITH MENU DRIVEN SUBSCRIBER ACCESS.

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims.

We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which became available between the filing date of prior applications U.S. Ser. No. 08/160,280, filed December 2, 1993, entitled NETWORK CONTROLLER FOR CABLE TELEVISION DELIVERY SYSTEM, U.S. Ser. No. 08/160,281, entitled REPROGRAMMABLE TERMINAL FOR SUGGESTING PROGRAMS OFFERED ON A TELEVISION PROGRAM DELIVERY SYSTEM, filed December 2, 1993, and U.S. Ser. No. 07/991,074, filed December 9, 1992, entitled TELEVISION PROGRAM PACKAGING AND DELIVERY SYSTEM WITH MENU DRIVEN SUBSCRIBER ACCESS and the national filing date of this continuation-in-part application.

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith: Eugene L. Johnson (Reg. No. 21,028), David N. Fronek (Reg. No. 25,678), Stuart R. Hemphill (Reg. No. 28,084), Jon F. Tuttle (Reg. No. 25,713), Aldo Noto (Reg. No. 35,628), Allan M. Soobert (Reg. No. 36,284), and John E. Giust (Reg. No. 38,064).

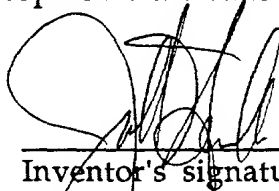
Address all telephone calls to: Allan M. Soobert, (202) 452-6961

Address all correspondence to: Allan M. Soobert, Dorsey & Whitney, Suite 200, 1330 Connecticut Avenue, N.W., Washington, D.C. 20036.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

John S. Hendricks

Full name of sole or first inventor

  
Inventor's signature

Date 1/30/95

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